

## Magnetic Instabilities in Type-II Superconductors\*

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The stability of the equilibrium between flux pinning and Lorentz forces acting on the flux structure in the mixed state is investigated. Complete stability is expected only if the temperature gradient of the flux pinning forces,  $\partial F_P/\partial T$ , is positive; otherwise the stability is affected by external field and geometry considerations. For the simple case of a semi-infinite slab, cooled in zero field, a calculation is given using standard empirical formulas for  $F_P(B, T)$ ; the field above which instability is found then becomes  $H_{fi} = \frac{1}{2}\pi^{3/2}[c(T_c^2 - T^2)/T]^{1/2}$ , where  $c$  is the specific heat per unit volume and  $T_c$  the critical temperature. For  $H_{fi} < H < H_{ij}$ , the flux lines are accelerated by the Lorentz force until thermal recovery restores  $F_P$ . This is called a limited instability. Above  $H_{ij}$ , the acceleration becomes too large compared to the thermal recovery and a runaway speed is reached. This runaway instability is identified with flux jumping. A simplified approach to the heat equation allows an estimate to be made of the maximum speed during a limited instability and of the flux-jumping field  $H_{ij}$ , if the thermal diffusivity is known. The applicability of the given calculations and the influence of various parameters are discussed, as well as some pertinent experimental results.

### A. INTRODUCTION

FLUX jumps<sup>1-3</sup> and critical current degradation in coils<sup>4</sup> have been variously observed in all technically important high-field superconductors. Both are manifestations of the very general phenomenon of magnetic instabilities in type-II superconductors.

Inconsistency and scattering of experimental results at first gave support to the idea that gross material imperfections and weak spots are responsible for these effects. But this interpretation has been ruled out except for a few isolated cases as more experimental evidence has been accumulated.

There has been no lack of qualitative discussions,<sup>1,5-8</sup> but attempts at quantitative explanations have been hampered by the complexity of the problem and the scarcity of data for important parameters such as specific heat, thermal conductivity or diffusivity, resistance in the critical state, etc.

The present investigation outlines a quantitative treatment of magnetic instabilities for the very simple experimental situation of a sufficiently thick, long, solid cylinder (without transport current) in a parallel external field which is changing at a constant rate. In this case, the assumption of a plane semi-infinite superconductor in a parallel field allows a somewhat simpler calculation while being a good approximation. The aim

is to find the value of the external field for which a flux jump takes place.

It may help to summarize briefly the experimental facts in order to illustrate what is understood by a flux jump. If the external field  $H$  is raised from zero, the field  $B$  inside the solid cylinder will stay zero except in a layer adjacent to the surface in which shielding currents (parallel to surface and at right angle to the field direction) are induced.<sup>9-12</sup> This "shielding layer" will grow in thickness as  $H$  increases until, above a certain value of  $H$ , the shielding currents suddenly break down and  $B$  throughout the cylinder becomes practically equal to  $H$ . On further increase of  $H$ , the process will repeat itself; a shielding layer growing to a certain thickness before breaking down again and so on. The breakdown process is generally called flux jump.

### B. BASIC APPROACH

Preceding the detailed mathematical procedure, an outline of the physical ideas is helpful. We visualize the mixed state in terms of quantized flux lines or fluxoids inside the superconductor whose density  $n$  gives the induction  $B = n\phi_0$  (flux quantum  $\phi_0 = 2.07 \times 10^{-7}$  G cm<sup>2</sup>). The current density  $j$  in the shielding region is connected through the Maxwell equation,  $\text{curl } B = 4\pi j$ , with the gradient of the induction:

$$1/4\pi(\partial B/\partial x) = -j. \quad (1)$$

(The  $x$  axis is normal to the surface with the positive direction into the superconductor; see Fig. 1.)

In the presence of this current density, a Lorentz force  $F_L$  is acting on the flux structure:

$$F_L = B \times j = -(B/4\pi)(\partial B/\partial x). \quad (2)$$

<sup>9</sup> H. T. Coffey, *Cryogenics* **7**, 73 (1967).

<sup>10</sup> K. G. Petzinger and J. J. Hanak, *RCA Rev.* **25**, 542 (1964).

<sup>11</sup> C. P. Bean, *Rev. Mod. Phys.* **36**, 31 (1964).

<sup>12</sup> W. A. Fietz, M. R. Beasley, J. Silcox, and W. W. Webb, *Phys. Rev.* **136**, A335 (1964).

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<sup>1</sup> Y. B. Kim, C. F. Hempstead, and A. R. Strnad, *Phys. Rev.* **129**, 528 (1963).

<sup>2</sup> P. S. Swartz and C. H. Rosner, *J. Appl. Phys.* **33**, 2292 (1962).

<sup>3</sup> A. F. Hildebrandt, D. D. Elleman, F. C. Whitmore, and R. Simpkins, *J. Appl. Phys.* **33**, 2375 (1962).

<sup>4</sup> M. S. Lubell, B. S. Chandrasekhar, and G. T. Mallick, *Appl. Phys. Letters* **3**, 79 (1963); H. Riemersma, J. K. Hulm, and B. S. Chandrasekhar, *Advan. Cryog. Eng.* **9**, 329 (1964).

<sup>5</sup> C. J. Gorter, *Physica* **31**, 407 (1965).

<sup>6</sup> J. E. Evetts, A. M. Campbell, and D. Dew-Hughes, *Phil. Mag.* **10**, 339 (1964).

<sup>7</sup> B. B. Goodman, *Rev. Mod. Phys.* **36**, 12 (1964).

<sup>8</sup> P. O. Carden, *Australian J. Phys.* **18**, 257 (1965).

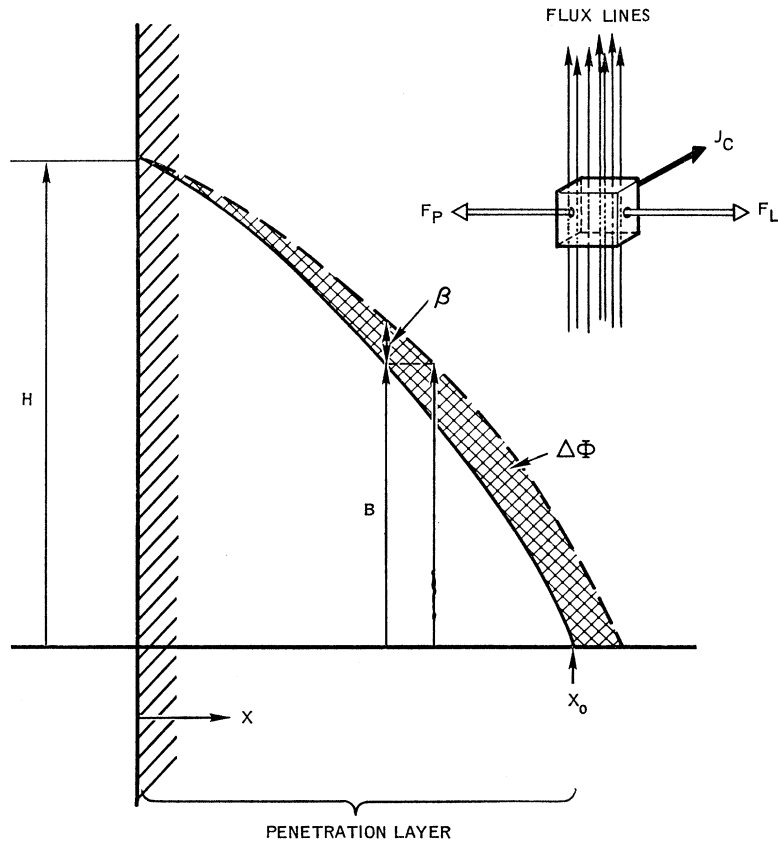


FIG. 1. Illustration of penetration layer and disturbance, and of flux structure in equilibrium between Lorentz and pinning forces.

The reaction to this force is provided by inhomogeneities in the material creating local variations of the mean free energy of the flux structure.<sup>13</sup> If a force equal to the local energy gradient is applied, a fluxoid will leave its minimum energy site and move into the neighboring minimum after the energy maximum in between has been passed. The energy between maximum and minimum is completely dissipated. This concept is called flux pinning and the imperfections responsible for it are vaguely referred to as pinning sites. These can be thought of as extended defects creating a network of energy maxima or as point defects of energy minima. Suggested examples are dislocations<sup>14</sup> and grain boundaries for the former, and cavities<sup>15</sup> and impurities for the latter. But for the present argument, the details of flux pinning are of no concern. One may simply assume a uniform distribution of volume density  $\rho$  of pinning sites, each of strength  $P$ , resulting in a total pinning force per unit volume of  $F_P = \rho P$ .  $F_P$  is a function of  $B$  and temperature  $T$ .<sup>16</sup>

<sup>13</sup> C. J. Gorter, Phys. Letters **2**, 26 (1962).

<sup>14</sup> W. W. Webb, Phys. Rev. Letters **11**, 191 (1963). G. J. van Gorp and D. J. van Ooijen, J. Phys. (Paris) **27**, C3 (1966).

<sup>15</sup> J. Friedel, P. G. de Gennes, and J. Matricon, Appl. Phys. Letters **2**, 119 (1963).

<sup>16</sup> S. L. Wipf, Conference Type-II Superconductors, Cleveland, Ohio, 1964 (unpublished); Westinghouse Scientific Paper 64-1JO-280-PI (unpublished).

A second contribution to the Lorentz force reaction, independent of flux pinning, comes from the flux-flow resistance<sup>17</sup> which is characterized by a viscosity  $\eta$ . If the actual speed of the flux lines is  $v$ , this contribution becomes  $\eta v$ . Dissipative mechanisms in flux flow have been discussed extensively.<sup>18-21</sup>

It is found<sup>22</sup> that for low temperatures and fields, experimental results can be expressed as  $\eta = c_\eta B$  where  $c_\eta = H_{c2}/\rho_n$  with  $H_{c2}$  the upper critical field at zero temperature and  $\rho_n$  the normal-state resistivity.  $c_\eta$  is constant over a large range of temperature and field.

Therefore, in the shielding layer in equilibrium, the Lorentz force is balanced by pinning and viscous forces.

$$F_L = F_P + \eta v. \quad (3)$$

The task will be to discuss the stability of this equation against disturbances.

<sup>17</sup> Y. B. Kim, C. F. Hempstead, and A. R. Strnad, Phys. Rev. **139**, A1163 (1965).

<sup>18</sup> J. Volger, F. A. Staas, and A. G. Van Vijfeijken, Phys. Letters **9**, 303 (1964).

<sup>19</sup> J. Bardeen, Phys. Rev. Letters **13**, 747 (1964).

<sup>20</sup> M. Tinkham, Phys. Rev. Letters **13**, 804 (1964).

<sup>21</sup> M. J. Stephen and J. Bardeen, Phys. Rev. Letters **14**, 112 (1965).

<sup>22</sup> In Ref. 17, Eq. (15), there is (per unit flux line)  $\eta_{emp} = \phi_0 H_{c2}(0)/\rho_n c^2$ , in order to express a volume force here  $\eta = \eta_{emp}$ .

Let us first introduce and justify two approximations. Initially, one may neglect the viscous term because  $v$  is very small. This is equivalent to assuming isothermal conditions throughout the specimen, for then  $j$  becomes a function of  $B$  only, and in order to match  $B$  and  $H$  at the surface the fluxoids have to have a drift velocity

$$v_{dr} = \frac{dH}{dt} \bigg/ \frac{\partial B}{\partial x} \bigg|_{x=0} = \frac{dH}{dt} \bigg/ 4\pi j(B=H). \quad (4)$$

The power dissipation becomes

$$j(d\Phi/dt) = jn\phi_0 v_{dr} = (dH/dt)(B/4\pi), \quad (5)$$

which enables one to estimate the limits of the isothermal approximation.<sup>23</sup>

A disturbance of this equilibrium takes the character of an addition of a flux increment  $\Delta\Phi$  to the interior of the body. This may be thought of as occurring when the innermost flux line moves a small distance; see Fig. 1. Such a disturbance can be imagined as a density wave in the fluxoid structure which propagates orders of magnitude<sup>24</sup> faster than the drift velocity. This justifies the assumption of a constant  $H$  during the time of a disturbance.

So, using these two approximations,  $v=0$  simplifies the equilibrium Eq. (3) to

$$F_L = F_P, \quad (6)$$

and  $H=\text{const}$  causes the flux front to take on the shape indicated in Fig. 1 after a disturbance.

The disturbance changes both Lorentz and pinning forces. From Fig. 1 one sees immediately that on the whole the Lorentz force has been reduced since for a point with the same  $B$  the gradient has become smaller. The pinning force has also changed because of the change in temperature due to the energy dissipated by adding  $\Delta\Phi$ . The dissipation can be represented by the product of the induced voltage and the shielding current density or, with the same result, by the movement of flux lines against the pinning forces. The change of the pinning force is also negative when, as in most superconductors, increasing temperature reduces pinning strength. If the reduction of the Lorentz force is larger than the reduction of the pinning force, the equilibrium is stable; if smaller, then unstable; equality gives the stability limit.

A qualitative conclusion may illustrate the point. In Fig. 2(a) are shown two shielding layers with equal  $\Delta\Phi$  disturbances,  $\Delta\Phi$  being represented by the shaded area. When  $H$  is small, the reduction of the Lorentz

force is greater than when  $H$  is large. The reduction in pinning strength is similar in both cases since it depends mainly on  $\Delta\Phi$ . This means that the stability limit is approached with increasing  $H$ . Looking now at a limited superconductor such as a plane slab or a cylinder in Fig. 2(b), it is found that after the field has penetrated to the center, a disturbance with the same reduction in Lorentz force needs an amount of  $\Delta\Phi$  slightly smaller than before by the cross hatched area since flux comes in from both sides. This lends plausibility to the frequent observation that if the center of a specimen is reached by the field without a flux jump taking place, the danger of flux jumping is reduced. If on the other hand the specimen is a hollow cylinder as in Fig. 2(c), then the flux jumping danger is increased suddenly, as soon as the field reaches the inside wall, because an increase in  $\Delta\Phi$  is now needed to fill the hole.

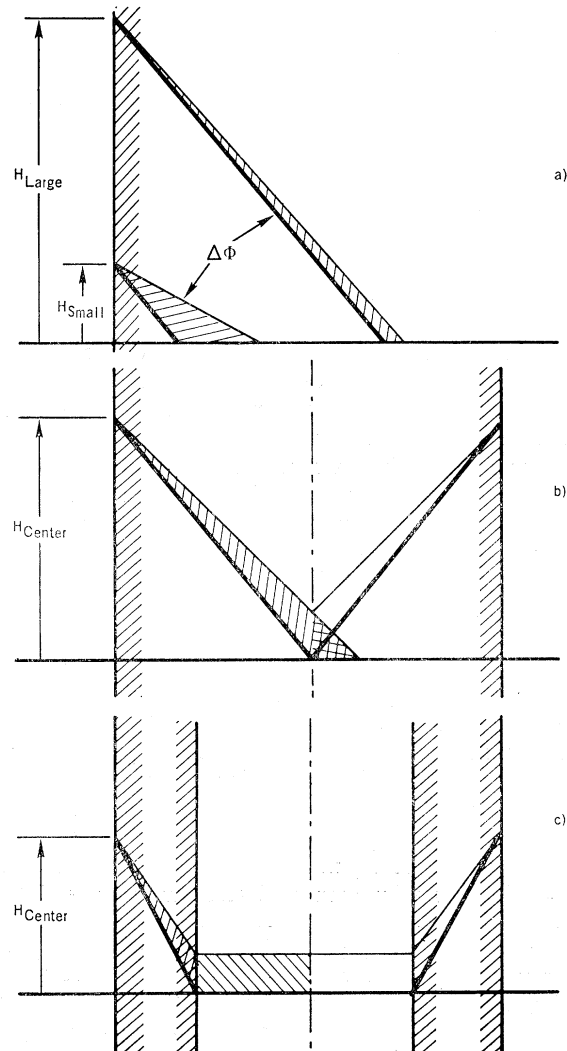


FIG. 2. Influence of the external field on the disturbance. Dependence on sample geometry for a solid and a hollow cylinder.

<sup>23</sup> If we consider typical order-of-magnitude values,  $j > 10^4$  A/cm<sup>2</sup>,  $H < 10^4$  G,  $dH/dt < 10^4$  G/sec, we have  $v < 1$  cm/sec, power dissipation  $< 1$  W/cm<sup>3</sup> resulting in a power transfer of  $< 0.5$  W/cm<sup>2</sup> across the surface into the helium since the shielding layer will be  $< 1$  cm thick. This power transfer is below the film boiling limit ( $\sim 0.8$  W/cm<sup>2</sup>) (Ref. 60) which would thermally isolate the surface from the bath.

<sup>24</sup> A measurement of the magnetic diffusivity (Ref. 38) in NbZr gave a factor of  $10^6$ .

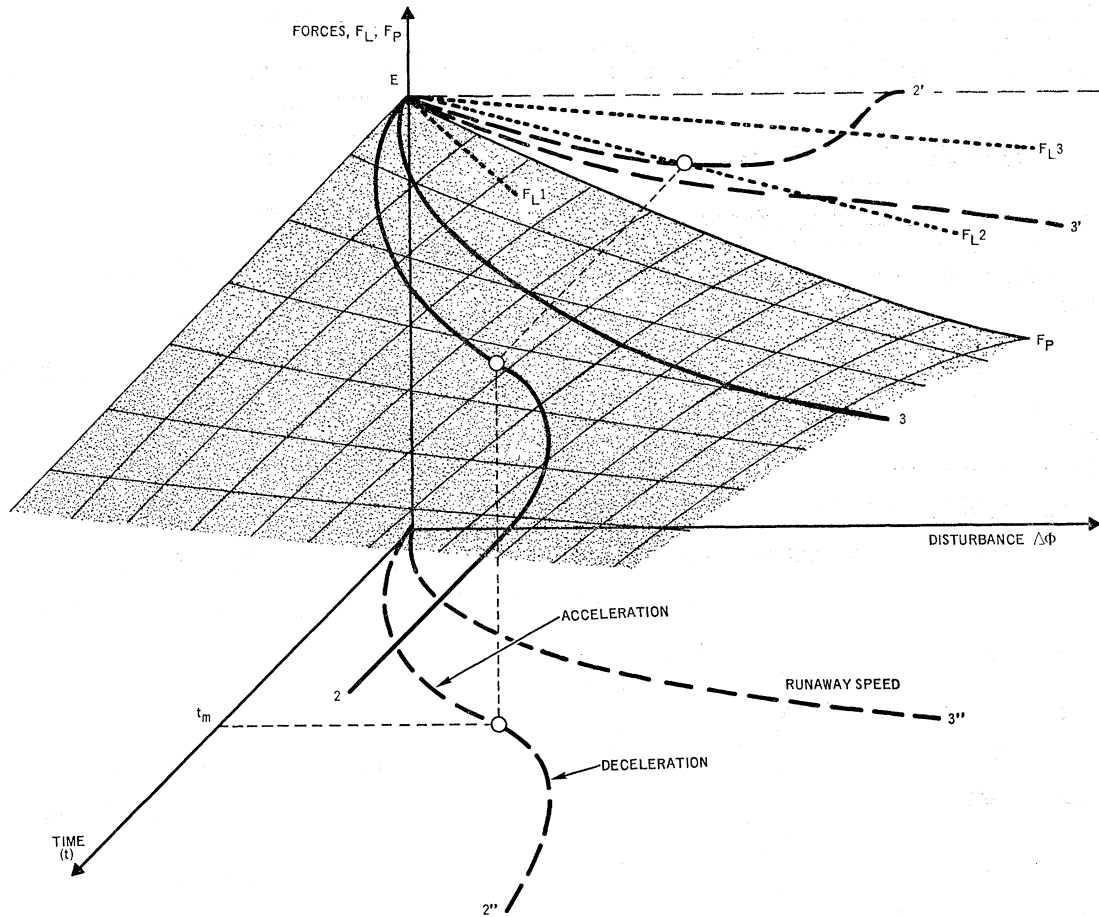


FIG. 3. Graphic representation of basic approach. Equilibrium is represented by point  $E$  where  $F_L = F_P$ . It is shown how  $F_P$  might be affected by an increasing disturbance  $\Delta\Phi$ . If the Lorentz force changes as indicated by  $F_L 1$  then the equilibrium is stable. The limit of the stability region is found when  $F_L$  and  $F_P$  have the same tangent at  $E$ . A limited instability is illustrated by  $F_L 2$ ; this causes  $F_P$  to change as curve 2 lying on the surface which indicates how  $F_P$  recovers with time due to heat conduction. The projection 2' gives the point of maximum speed where it crosses  $F_L 2$ ; it is the inflection point on the projection 2'' into the  $t, \Delta\Phi$  plane.  $F_L 3$  indicates a runaway instability. The runaway speed is reached when 3' becomes parallel to  $F_L 3$ . A linear approximation of  $F_L$  is assumed.

On exceeding the stability limit, the Lorentz force becomes greater than the pinning force during a disturbance and the movement of the flux lines will accelerate. Now one has to look at Eq. (3) since  $v$  can be neglected no longer. With the acceleration of the flux lines, the disturbance grows larger and may develop into a flux jump. The acceleration process will take some time during which thermal conduction reduces the temperature rise and therefore, to a certain extent, restores the pinning strength of the material. This will reduce the acceleration and eventually decelerate the flux lines again. The result will be a large, but locally and in time limited, disturbance as distinct from a flux jump, described in the introduction. This situation may be termed as one of limited instability.

Limited instability is characterized by an acceleration to a maximum speed of the flux lines followed by deceleration. The duration of this process is short enough so that the shielding layer has not grown noticeably compared to the original thickness  $x_0$ . If, how-

ever,  $\int v dt$  becomes comparable to  $x_0$  during the acceleration process, then the heat conduction, both to the surface of the specimen and across the inner boundary of the shielding layer, which should reduce the temperature and thus restore the pinning, becomes less effective. Eventually the shielding layer may grow so rapidly that the heat conduction becomes negligible, i.e., the front of the advancing flux may outrun the heat conduction, resulting in an adiabatic process. This runaway instability then is a flux jump.

A graphic representation summarizing the basic approach is given in Fig. 3.

The following three sections will give a quantitative treatment along the given outline for the regions of full stability, limited instability, and runaway instability.

### C. FULL STABILITY

A disturbance of the shielding layer can be introduced by changing  $B(x)$  into  $B(x) + \beta(x)$  where  $\beta(x)$

is an infinitesimally small positive field. This will change Lorentz and pinning force from  $F_L$  into  $F_L + \Delta F_L$  and  $F_P$  into  $F_P + \Delta F_P$ . The equilibrium equation (6) changes into

$$F_L + \Delta F_L \underset{\text{stability}}{\overset{\text{instability}}{\geq}} F_P + \Delta F_P. \quad (7)$$

Equation (7) with an equal sign, belonging either to instability or to stability, separates the two regions and determines what we call the stability limit. We want to solve

$$\Delta F_L = \Delta F_P. \quad (8)$$

Since, as said before, a disturbance spreads out very quickly, we can ask that (8) be fulfilled simultaneously for all  $x$ .<sup>25</sup>

Using Eq. (2), one gets

$$\begin{aligned} \Delta F_L &= F_L(B + \beta) - F_L(B) \\ &= -1/4\pi(B(d\beta/dx) + \beta(\partial B/\partial x)). \end{aligned} \quad (9)$$

$\beta d\beta/dx$ , being small to the second order, can be neglected.

The pinning strength is mainly affected by the temperature rise which accompanies the energy dissipation because of the admission of flux, and to a much smaller extent by the increase in field. Thus  $\Delta F_P = (\partial F_P/\partial T)\Delta T + (\partial F_P/\partial B)\beta$ .

The energy dissipated is

$$\Delta q = j \cdot \Delta \Phi = -\frac{1}{4\pi} \frac{\partial B}{\partial x} \int_x^{x_0} \beta dx. \quad (10)$$

The initial temperature rise becomes

$$\Delta T = (1/c)\Delta q, \quad (11)$$

where  $c$  is the specific heat per unit volume. Here we made use of the fact that the disturbance is also a quick process compared to the thermal diffusion and avoid using the exact (but cumbersome) heat equation

$$\partial T/\partial t = \alpha_{\text{th}}(\partial^2 T/\partial x^2) + c^{-1}(dq/dt), \quad (12)$$

with  $\alpha_{\text{th}}$  being the thermal diffusivity, for which (11) is a solution for small enough  $t$ . (See Secs. D and E.) With (10) and (11) we have now

$$\Delta F_P = -\frac{\partial F_P}{\partial T} \frac{1}{4\pi c} \frac{\partial B}{\partial x} \int_x^{x_0} \beta dx + \frac{\partial F_P}{\partial B} \beta. \quad (13)$$

Equating (9) and (13), one gets

$$B \cdot \frac{d\beta}{dx} + \left( \frac{\partial B}{\partial x} + 4\pi \frac{\partial F_P}{\partial B} \right) \beta - \frac{1}{c} \frac{\partial B}{\partial x} \cdot \frac{\partial F_P}{\partial T} \int_x^{x_0} \beta dx = 0. \quad (14)$$

Now we have to find the field  $H$  for which (14) has a solution with the following boundary conditions:

$$(1) \quad \beta(0) = 0, \quad \text{and} \quad (2) \quad \beta(x_0) = D (= \text{const}). \quad (15)$$

<sup>25</sup> It can be shown that if  $\Delta F_L > \Delta F_P$  for  $x_1 < x < x_2$  and  $<$  for  $x < x_1$ ,  $x > x_2$ , then the flux lines in  $x_1 < x < x_2$  will accelerate in such a way as to reduce the differences between  $\Delta F_L$  and  $\Delta F_P$  in both intervals.

This field will give the stability limit and may be denoted as  $H_{\text{fi}}$ .

$H_{\text{fi}}$  can only be calculated if  $F_P(B, T)$  is known.

In what follows we shall determine  $H_{\text{fi}}$  for a dependency of  $F_P$  which is found to be a good empirical approximation in many cases.<sup>26</sup>

$$F_P = \alpha [B/(B + B_0)] \quad (16)$$

and

$$\partial F_P/\partial T = (\partial \alpha/\partial T) [B/(B + B_0)], \quad (17)$$

where

$$\partial \alpha/\partial B = \partial B_0/\partial T = 0.$$

Using (6) and (2) in (16), one finds the differential equation

$$\alpha/(B + B_0) + (1/4\pi)(\partial B/\partial x) = 0. \quad (18)$$

With the boundary condition for  $x=0$ ,  $B=H$  the solution becomes

$$(H + B_0)^2 - (B + B_0)^2 = 8\pi \alpha x. \quad (19)$$

Substituting (16)–(19) into (14), differentiating, and using the notation

$$S = c^{-1}(\partial \alpha/\partial T) \quad \text{and} \quad R = (B_0 + H)^2/4\pi \alpha,$$

one obtains

$$(R - 2x)\beta'' - 3\beta' - S\beta = 0. \quad (20)$$

Substituting  $R - 2x = 2\xi^2$  and  $\beta = \nu/\xi$ , this reduces to the form

$$(\partial^2 \nu/\partial \xi^2) - 2S\nu = 0, \quad (21)$$

which has the solution

$$\nu = C_1 \cosh(2S)^{1/2} \xi + C_2 \sinh(2S)^{1/2} \xi; \quad (22)$$

or resubstituting

$$\begin{aligned} \beta &= \left(\frac{1}{2}(R - 2x)\right)^{-1/2} \{ C_1 \cos[S(2x - R)]^{1/2} \\ &\quad + C_2 \sin[S(2x - R)]^{1/2} \}. \end{aligned} \quad (22')$$

The first and second boundary conditions (15) give the following Eqs. (23) and (24); and (22') inserted into the original Eq. (14) gives Eq. (25). We use the notation  $\gamma = (-S/4\pi\alpha)^{1/2}$  and keep in mind that  $\frac{1}{2}(R - 2x_0) = B_0^2/8\pi\alpha$ :

$$B_0 D / (8\pi\alpha)^{1/2} = C_1 \cos B_0 \gamma + C_2 \sin B_0 \gamma, \quad (23)$$

$$0 = C_1 \cos(B_0 + H)\gamma + C_2 \sin(B_0 + H)\gamma, \quad (24)$$

$$0 = C_1 \sin B_0 \gamma - C_2 \cos B_0 \gamma. \quad (25)$$

These are three equations for the unknowns  $C_1$ ,  $C_2$ , and  $H$ . Since we are only interested in  $H$ , we write Eq. (24) as

$$0 = (\cos H \gamma) (C_1 \cos B_0 \gamma + C_2 \sin B_0 \gamma) - (\sin H \gamma) (C_1 \sin B_0 \gamma - C_2 \cos B_0 \gamma). \quad (24')$$

<sup>26</sup> Y. B. Kim, C. F. Hempstead, and A. R. Strnad, Phys. Rev. Letters 9, 306 (1962).

After substitution of Eqs. (23) and (25), there remains

$$[B_0 D / (8\pi\alpha)^{1/2}] \cos H\gamma = 0 \quad (26)$$

and the first solution of (26) gives, after replacing

$$\gamma = \left( -\frac{\partial\alpha}{\partial T} / c4\pi\alpha \right)^{1/2},$$

$$H_{fi} = \frac{1}{2}\pi \left( \frac{-4\pi\alpha}{\partial\alpha/\partial T} \right)^{1/2}. \quad (27)$$

We may insert the common temperature dependence of flux pinning:

$$F_{P(T)} = F_{P(0)} [1 - (T/T_c)^2]^2, \quad (28)$$

where  $T_c$  is the critical temperature. Equation (28) is a fair approximation in many measured cases.<sup>16</sup> It is also plausible if one recalls that pinning is expressed by the local gradient of variations in mean free energy and the pinning sites are locally fixed. Since the difference in Gibbs free energy between normal and superconductive state, being proportional to the square of the critical field, has the temperature dependence of Eq. (28), it is likely that the variations also follow this dependence.<sup>27</sup>

With Eqs. (28) and (16), (17) one obtains

$$\partial\alpha/\partial T = -\alpha(T)4T/(T_c^2 - T^2). \quad (29)$$

Using (29) in (27), one gets

$$H_{fi} = \frac{1}{2}\pi [\pi c(T_c^2 - T^2)/T]^{1/2}. \quad (30)$$

This equation is remarkable as it contains only the specific heat and the critical temperature and neither the pinning strength parameter  $\alpha$  nor the rate of change of the external field  $dH/dt$ .

Subject to the assumptions of isothermal conditions ( $dH/dt$  not too large), semi-infinite half-space (good approximation as long as the shielding layer does not reach the center of the specimen), and ordinary field and temperature dependence of flux pinning, the stability limit is expressed by Eq. (30).

Formulas similar to (27) and (30) have been presented earlier.<sup>28,29</sup> Without allowing for the influence of  $\eta$  in Eq. (3), they were, however, erroneously attributed to  $H_{fi}$ .

#### D. LIMITED INSTABILITY

Once the stability limit as determined by Eq. (14) is exceeded, then the original equilibrium equation (3) has to be considered in order to study the process initiated by a disturbance. The time derivative of (3)

<sup>27</sup> This is often not the case if the variations are caused by alloy phases or inclusions which represent superconductors different from the matrix, such as in the Pb-Sn and Pb-Sn-In alloys reported by Livingston [J. D. Livingston, Appl. Phys. Letters **8**, 319 (1966)].

<sup>28</sup> S. L. Wipf and M. S. Lubell, Bull. Am. Phys. Soc. **10**, 60 (1965). (See also footnote in Ref. 38).

<sup>29</sup> P. S. Swartz and C. P. Bean, Bull. Am. Phys. Soc. **10**, 359 (1965).

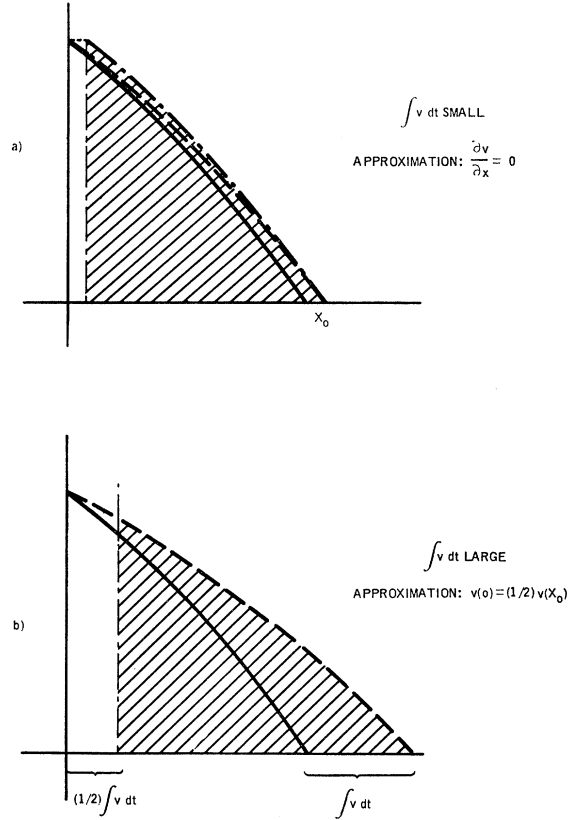


FIG. 4. Approximations concerning the speed of the flux structure. The shaded area indicates where the flux of the original penetration layer is found after the disturbance. The triangle with  $\int v dt$  as a base is equal in area to the rectangle with  $\frac{1}{2} \int v dt$  as a base.

gives an equation of motion for the flux structure:

$$\frac{\partial F_L}{\partial t} - \frac{\partial F_P}{\partial t} = \eta \frac{\partial v}{\partial t} + v \frac{\partial \eta}{\partial t}. \quad (31)$$

This equation has to be valid for all  $x$  and  $t$ . In addition, the following equation for the conservation of the flux, which enters through the surface, must be fulfilled:

$$Bv = \int_{B=0}^B \frac{\partial B}{\partial t} dx, \quad (32)$$

which gives

$$-\frac{\partial B}{\partial t} = \frac{\partial Bv}{\partial x} = B \frac{\partial v}{\partial x} + v \frac{\partial B}{\partial x}, \quad (33)$$

in which the sign is negative because  $x(B) < x(B=0)$ . Now each of the two terms on the left in (31) have to be worked out. Making use of (2), (33), one gets

$$\frac{\partial F_L}{\partial t} = \frac{1}{4\pi} \left\{ B \frac{\partial^2 B}{\partial x \partial t} - v \left( \frac{\partial B}{\partial x} \right)^2 - B \frac{\partial v}{\partial x} \frac{\partial B}{\partial x} \right\}. \quad (34)$$

This equation can be simplified by making some approximations. As long as  $\int v(x_0) dt \ll x_0$ , one can neglect  $\partial v/\partial x$  (see Fig. 4). The differential quotient in the

first term in (34) then becomes

$$(\partial/\partial t)(\partial B/\partial x) = -v(\partial^2 B/\partial x^2)$$

because

$$(\partial B/\partial x)(x, t+\Delta t) = (\partial B/\partial x)(x-v\Delta t, t);$$

with this approximation (34) becomes

$$\frac{\partial F_L}{\partial t} = -\frac{v}{4\pi} \left[ \left( \frac{\partial B}{\partial x} \right)^2 + B \frac{\partial^2 B}{\partial x^2} \right]. \quad (34')$$

If  $\int v dt$  is comparable to  $x_0$ , we can make the following approximations (see Fig. 4):

1.  $\int v(x=0) dt = \frac{1}{2} \int v(x_0) dt$  and therefore  $v(x) = \frac{1}{2} v(x_0) [1+x/x_0]$ , and

$$\frac{dv}{dx} = \frac{v(x_0)}{2x_0} = \frac{v}{x_0+x}$$

and

2.  $\partial B/\partial x = P(x)(H/x_0)$ , where  $P$  is a proportionality constant. Then

$$\begin{aligned} (\partial/\partial t)(\partial B/\partial x) &= (\partial/\partial t)(P(H/x_0)) \\ &= -(PH/x_0^2)(\partial x_0/\partial t) \\ &= -(\partial B/\partial x)[v(x_0)/x_0] \\ &= -(\partial B/\partial x)[2v/(x_0+x)]. \end{aligned}$$

Now (34) becomes

$$\frac{\partial F_L}{\partial t} = -\frac{v}{4\pi} \left[ \left( \frac{\partial B}{\partial x} \right)^2 + B \frac{\partial B}{\partial x} \frac{3}{x_0+x} \right]. \quad (34'')$$

The difference between (34), (34'), and (34'') is usually insignificant because  $(\partial B/\partial x)^2$  is very large.

For the pinning force term in (31) one gets

$$\frac{\partial F_P}{\partial t} = \frac{\partial F_P}{\partial T} \frac{\partial T}{\partial t} + \frac{\partial F_P}{\partial B} \frac{\partial B}{\partial t}. \quad (35)$$

The temperature is again given by a solution of the heat Eq. (12). Instead of (11), we write

$$\int \frac{\partial T}{\partial t} dt = f c^{-1} \int \frac{\partial q}{\partial t} dt, \quad (36)$$

where  $f=f(x, t, dq/dt)$  is a parameter measuring the steadiness of the temperature distribution according to Eq. (12) [ $f$  (adiabatic) = 1,  $f$  (steady state) = 0]. See also Fig. 5.

If we neglect the change in shielding current, we get [cf. Eq. (10)]

$$\frac{\partial q}{\partial t} = j \frac{\partial \Phi}{\partial t} = j v B = \frac{1}{4\pi} v B \frac{\partial B}{\partial x}; \quad (37)$$

with the use of (33), Eq. (35) then becomes

$$-\frac{\partial F_P}{\partial t} = \frac{\partial F_P}{\partial T} \left[ \alpha_{th} \frac{\partial^2 T}{\partial x^2} + \frac{j}{c} v B \right] - \frac{\partial F_P}{\partial B} \left( B \frac{\partial v}{\partial x} + v \frac{\partial B}{\partial x} \right). \quad (38)$$

If we make use of the notation (36), then

$$\frac{\partial F_P}{\partial t} = \frac{\partial F_P}{\partial T} f \frac{j}{c} v B - \frac{\partial F_P}{\partial B} \left( B \frac{\partial v}{\partial x} + v \frac{\partial B}{\partial x} \right). \quad (38')$$

We can now write the original Eq. (31) for small  $v$ , replacing  $\eta$  with  $c_\eta B$ , as follows:

$$\begin{aligned} -\frac{v}{4\pi} \left[ \left( \frac{\partial B}{\partial x} \right)^2 + B \frac{\partial^2 B}{\partial x^2} \right] + \frac{\partial F_P}{\partial T} \frac{f}{4\pi c} \frac{\partial B}{\partial x} v B + \frac{\partial F_P}{\partial B} v \frac{\partial B}{\partial x} \\ = c_\eta \left( B \frac{\partial v}{\partial t} - v^2 \frac{\partial B}{\partial x} \right), \quad (39) \end{aligned}$$

which has the form

$$\partial v/\partial t = a_i v + b_i v^2, \quad (40)$$

where

$$a_i = \frac{1}{B c_\eta} \left[ \frac{\partial F_P}{\partial B} \frac{\partial B}{\partial x} - \frac{B}{4\pi} \frac{\partial^2 B}{\partial x^2} - \frac{1}{4\pi} \left( \frac{\partial B}{\partial x} \right)^2 - \frac{f}{c} F_P \frac{\partial F_P}{\partial T} \right] \quad (41)$$

and

$$b_i = (\partial B/\partial x)/B.$$

For larger  $v$ , Eq. (40) must be written, replacing  $a_i$  and  $b_i$  with

$$\begin{aligned} a_2 = \frac{1}{B c_\eta} \left[ \frac{\partial F_P}{\partial B} \left( \frac{B}{x+x_0} + \frac{\partial B}{\partial x} \right) - \frac{B}{4\pi} \frac{\partial B}{\partial x} \frac{3}{x+x_0} - \frac{1}{4\pi} \left( \frac{\partial B}{\partial x} \right)^2 \right. \\ \left. - \frac{f}{c} F_P \frac{\partial F_P}{\partial T} \right], \quad (41') \end{aligned}$$

$$b_2 = (\partial B/\partial x)/B + (x+x_0)^{-1}.$$

Equation (40) is solved by

$$v = -(a_i/b_i) [C \exp(-a_i t) + 1]^{-1}. \quad (42)$$

Where  $C$  is given by the initial speed  $v_0$ ,

$$C + 1 = -a_i/b_i v_0. \quad (43)$$

$C$  being a large number, the addition of 1 can usually be neglected. For small  $t$ , (42) can therefore be written

$$v = v_0 \exp(a_i t). \quad (44)$$

Equation (44) applies as long as  $\int v dt \ll x_0$ , i.e., in almost the whole limited instability range.

Note that for  $v_0=0$ , the solution of (40) is  $v=0$  as is seen in (44). This is expected since  $v_0=0$  implies no disturbance and the system was originally in equilibrium.

It is further seen from Eq. (44) that  $v$  decreases for  $a_i < 0$  and  $v=v_0$  constant for  $a_i=0$ , i.e., a disturbance will stop by itself and the equilibrium is stable,  $a_i=0$  giving the limit of stability. Indeed Eq. (14), which was given as the stability limit in the previous section, will give the same result if the time derivative is formed, using the simplifications introduced after Eq. (34) and keeping in mind that  $\partial \beta/\partial t = \partial B/\partial t$  and  $f=1$ .

It has been mentioned above that a limited instability is characterized by a period of acceleration, followed

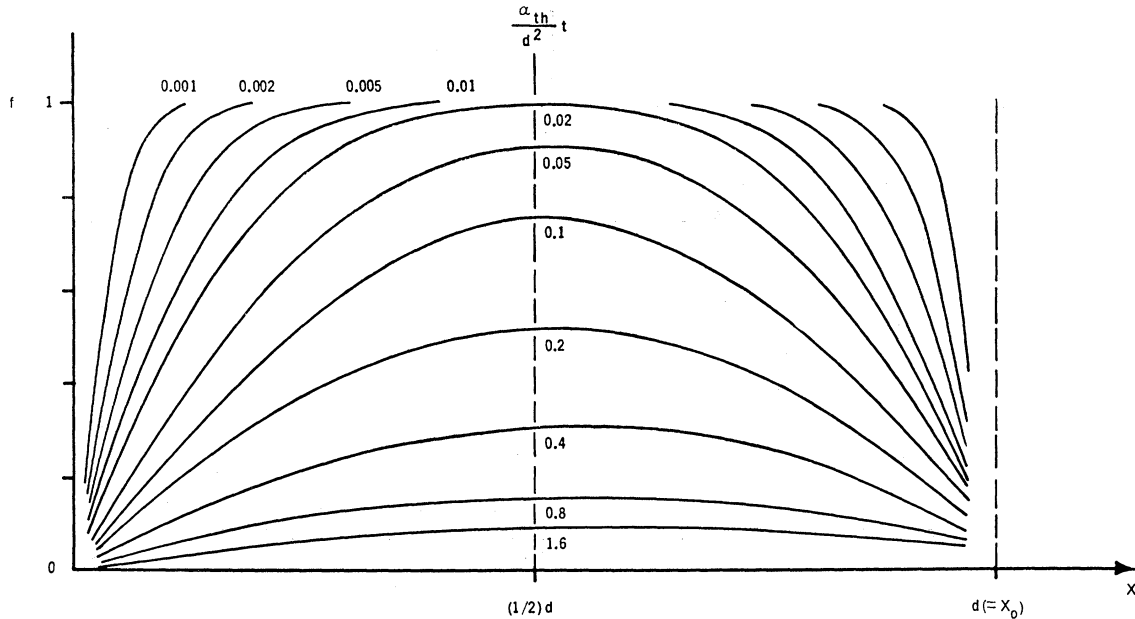


FIG. 5. Temperature distribution function  $f$  versus  $x$  in the penetration layer, for increasing time parameters  $\theta = \alpha_{th}t/d^2$ .

$$f = \Delta Tc / \int_0^t \frac{dq}{dt} dt,$$

where  $\Delta T = T - T_{surface}$ . Formulas for  $\Delta T$  are found in H. S. Carslaw and J. C. Jaeger, *Conduction, of Heat in Solids* (Oxford University Press, Oxford, England, 1947), Chap. III, Sec. 44. In the present case,  $f$  for  $x = d/2$  and  $dq/dt = \text{constant}$  has been computed as

$$f = m^{-1} \sum_{i=0}^m S(\theta[1 - i/m]),$$

$m$  being a large number. The function  $S(\theta)$  is tabulated in L. R. Ingersoll, O. J. Zobel, and A. C. Ingersoll, *Heat Conduction* (University of Wisconsin, Press, Madison, Wisconsin, 1954), p. 299 ff.

by deceleration. The time interval following a disturbance in which the maximum speed is reached may be called  $t_m$ . By comparison with Eq. (40),  $v$  is a maximum when

$$a_i(t_m) = -b_i v. \quad (45)$$

If  $a_i$  and  $b_i$  were constant, this, according to (42), has only a solution for  $t_m \rightarrow \infty$ , thus the maximum speed would become

$$v(t = \infty) = -a_i/b_i. \quad (46)$$

However,  $a_i$  is a function of  $x$  and also of  $f$  which is itself dependent on  $x$  and  $t$ .

An exact treatment would require that Eq. (31) be solved simultaneously for all  $x$  and  $t$ , inserting the heat equation correctly. This would lead to a differential equation for the shape of the disturbance similar to (14), only more complicated. The solution would give the true  $v(x, t)$  describing the complete process of a limited instability. Needless to say, this would be an enormously difficult task, quite outside the scope of the present study and, in the absence of precise experimental data, unwarranted.

Instead, having already ignored the dependence of  $v$  on  $x$  by assuming  $dv/dx = 0$ , we shall continue with

the adoption of a great simplification concerning the heat equation. The results of the subsequent discussions and calculations will therefore be of a more qualitative nature. However, the formulas for estimating the maximum speed during a limited stability and the field at the beginning of runaway instabilities should amply justify the procedure.

After this short apology, we can now show generally, by considering  $f$ , that the quantity  $a_i$  starts decreasing after a very short time, passes through zero (shortly after  $t_m$ ), and becomes negative. The connection between  $f$  and  $a_i$  is seen from Eqs. (41) and (41') which, however, are correct only for very short times, or  $f = 1$ . At the beginning,  $f$  is unity in the whole shielding layer except at the boundary where  $f$  is kept close to zero by the cooling of the liquid helium through the surface and by radiation into the bulk at  $x_0$ . With increasing time,  $f$  will decrease gradually throughout the layer because of heat conduction to the boundaries. Figure 5 gives an illustration of  $f$  for the case of  $dq/dt = \text{const}$  and  $t > 0$ ,  $0 < x < x_0$ , with the reduced time variable  $\alpha_{th}/d^2$  as a parameter, where  $\alpha_{th}$  is the thermal diffusivity and  $d (= x_0)$  the thickness of the layer. In this figure only the values at the center have been calculated, assuming that both surfaces of the plane slab



are kept at zero temperature. The remainder has been filled in by approximating, for small time values, by the unsteady-state solution and, for large  $t$ , by the steady-state solution of the heat equation. The left-hand boundary is assumed to stay at zero temperature and at the right-hand boundary there is radiation into the same medium at zero temperature. This would make  $f$  infinite at the boundary itself, which is the reason for leaving the vicinity of  $x_0$  blank.

We learn from Fig. 5 that for  $\alpha_{th}t/d^2 < 0.02$  the assumption  $f=1$  is a fair approximation and that for longer times  $f$  reduces rapidly.

If one can neglect the change in  $x_0$  during the whole limited instability process [see Eq. (34')], one writes  $t_m$  from Eq. (45) as

$$t_m = (x_0^2/\alpha_{th})g_1. \quad (47)$$

Seeing that  $f$  is small at the surface and near  $x_0$  and without knowing the exact shape of the disturbance, we can say that the change in the shielding layer, i.e., the change in  $B$  and  $dB/dx$ , is largest near the center. One might also say that the acceleration force is largest at the center and is spread out over the whole of the layer because of the elastic rigidity of the flux structure. Considering all this, one may make a plausible choice of  $g_1=0.015$ , which is the value for which  $f$  at the center starts to diminish. With this, Eq. (44) can be written

$$v_{max} = \frac{H}{4\pi F_P(H)} \frac{dH}{dt} \exp\left(\frac{0.015a_i x_0^2}{\alpha_{th}}\right). \quad (48)$$

In this formula,  $v_0$  has been replaced by  $v_{dr}$  from Eq. (4), using Eqs. (2) and (6).

### E. RUNAWAY INSTABILITY OR FLUX JUMP

We have seen that, once the stability limit is exceeded, a disturbance will cause the flux lines to be accelerated by the Lorentz forces because of the weakening of the flux pinning. The velocity, starting from the drift velocity, will eventually reach a maximum value. In the case of a limited instability, this value is comparatively low, allowing a thermal recovery of the bulk of the shielding layer via heat conduction. Thus, the pinning forces recuperate and a deceleration of the flux structure sets in which stops the instability again. Thermal recovery starts when the time parameter  $\alpha_{th}t/d^2$  reaches a certain critical value, which from a study of Fig. 5 we have guessed to be 0.015.

The situation may change when the thickness  $d$  of the shielding layer

$$d = x_0 + \int v dt \quad (49)$$

increases noticeably during the acceleration period. Should  $d$  grow faster than  $t^{1/2}$  we obtain a case where the thermal time parameter decreases with increasing time,  $t$ . This means that the heat conduction is too

slow and the process becomes, or remains, adiabatic; i.e., the thermal function  $f=1$  [see Eq. (36) and Fig. 5].

In this kind of process, the acceleration continues until a final runaway speed is reached. Naturally, in due course the movement terminates owing to the boundaries of the superconductor.

This process is a flux jump and it occurs when the following criterion holds:

$$\alpha_{th}t/d^2 \leq g_2. \quad (50)$$

In other words, the condition for the development of a runaway instability is that the thermal time parameter, which is zero to begin with, will not exceed a certain critical value  $g_2$  because of the expansion of the thickness of the shielding layer.

In order to make a quantitative estimate, we shall adopt the same recipe as in the previous section. We assume that Fig. 5 is a reasonable approximation for the function  $f$ , although as  $d$  is not constant the agreement would be expected to be somewhat poorer. This would again give a choice of  $g_2=0.015$ .

We arrive at the threshold value for a runaway instability by putting this critical value into Eq. (50) and assuming the equality sign. Since  $f=1$  is again valid, we can use Eqs. (40)–(44) in determining  $v$  which gives the value for  $d$  according to Eq. (49).

We still have to decide what limits the integral in Eq. (49) should take. The obvious choice of taking  $v_{dr}$  as the initial speed is not good if we realize that Fig. 5 is only right for constant  $dq/dt$  which in this case means constant  $v$ . Instead of being constant,  $v$  changes by several orders of magnitude before the integral becomes comparable to  $x_0$ . Therefore, the insertion of the initial speed  $v_{max}$  from Eq. (48) is suggested. Thus the thermal time parameter is counted from the moment when the heat production has reached this almost constant, higher level of the limited instability.

On the basis of these considerations, the formal result is reached as follows: Taking  $v$  from the expression (42), the constant  $C$  assumes the value

$$C = -(a_i/b_i v_{max} + 1), \quad (51)$$

where  $v_{max}$  is given in (48). With

$$\int_0^t v dt = -\frac{a_i}{b_i} t - b_i^{-1} \ln(1 + C \exp(-a_i t)), \quad (52)$$

the criterion Eq. (50) becomes

$$x_0 t^{-1/2} - \frac{a_i}{b_i} t^{1/2} - \frac{t^{-1/2}}{b_i} \ln\left(\frac{1 + C \exp(-a_i t)}{1 + C}\right) = \left(\frac{\alpha_{th}}{0.015}\right)^{1/2}. \quad (53)$$

The condition that the left-hand side shall be a minimum will determine the unknowns  $t$  and  $C$ . The constant  $C$  contains the value  $H_{fj}$ , the threshold field for runaway instability.

In a practical evaluation, one may get the left-hand side of (53) versus time directly from Eq. (40) by means of an analog computer.

## F. DISCUSSION

In order to appreciate the applicability of the formulas presented in the previous chapters, it is of importance to state once more clearly the assumptions made and discuss the effect of deviations from them. In subsequent sections, the influence of the main variables, such as  $dH/dt$ ,  $\alpha_{th}$ ,  $F_P$ , and  $\partial F_P/\partial T$ , will be outlined and finally, a comparison in a general way with experimental findings should be of interest.

Magnetic instabilities can only occur when a superconductor is not in thermodynamic equilibrium, i.e., irreversible. Terms like "perfect" or "ideal" allude to a high degree of reversibility<sup>30</sup>; an "ideal" superconductor cannot sustain any macroscopic magnetic gradients in the mixed state.<sup>31-33</sup> The maximum of the deviation from equilibrium which an imperfect superconductor is capable of can be characterized by a "critical state." This, for the purpose of the present investigation, is sufficiently described by a bulk critical-current density  $J_c$  which is normally a function of the local induction  $B$  and temperature  $T$ . In general, and recently the subject of various studies,<sup>34</sup> there is also a surface critical current density  $J_{cs}$ —in addition to the equilibrium surface current responsible for the ideal diamagnetic properties of the superconductor, and unlike this one it can have positive or negative sign. Maxwell's equations allow an alternative description of the critical state in terms of a magnetic gradient  $\partial B/\partial x = 4\pi J_c$  and of a step at the surface  $\Delta H_s = 4\pi J_{cs}$ . A third equivalent description uses pinning forces which are connected to the previous two views by being the reaction to the Lorentz force,  $F_P = J_c \times B$ ; in this more microscopic picture, the surface current takes the role of a surface barrier.<sup>35</sup>

While in many magnetically unstable superconductors these surface currents are not negligible ( $\Delta H_s$  is of the order of 100 G in NbZr and NbTi),<sup>36</sup> their influence on the instability problem is small if the surface is well cooled as in our conditions. Naturally the boundary field which the bulk flux structure sees should be taken as  $H - \Delta H_s$  rather than the external field  $H$

alone. Since instabilities related to the surface represent sudden changes of  $\Delta H_s$ , they become a source of disturbances similar in effect to an unsteady  $dH/dt$ .

We have assumed isothermal conditions; this is largely a mathematical convenience. The same physical ideas apply when  $dH/dt$  is too large for the isothermal approximation, but simplifications like Eqs. (4) and (16) are no longer allowed and the calculation may become prohibitively complicated. For large values of  $dH/dt$ , one approaches fully adiabatic conditions. Then a very simple criterion will establish an upper limit for the flux jumping field<sup>37</sup>; however, the presently outlined mechanism may, and usually does, still cause instabilities at a slightly lower field.

An important simplification justified by the isothermal assumption is the heat equation (12). In this form it is only valid when the thermal diffusivity is a constant. In reality  $\alpha_{th} = K/c$  is a function of  $T$  because both  $c$  and  $K$  vary differently with temperature. The correct heat equation

$$c(\partial T/\partial t) = \nabla(K\nabla T) \quad (54)$$

becomes

$$\frac{3}{2}\epsilon[\partial(T^4)/\partial t] = \zeta\nabla^2(T^3) \quad (55)$$

if  $c = \epsilon T^3$  and  $K = \zeta T^2$ , which are fairly close to the actual functions in the superconductor.

In adopting Eq. (11), we have also assumed that the magnetic diffusivity is larger than the thermal diffusivity. In reality the magnetic disturbance  $\beta$  in Eq. (10) is itself the result of a diffusion process and should likewise be the solution of an equation:

$$\partial\beta/\partial t = \alpha_{e1. mag}\beta'' \quad (56)$$

This is important because  $\alpha_{e1. mag}$  is proportional<sup>38</sup> to  $dH/dt$ ; consequently, for small  $dH/dt$ , the disturbance propagates itself so slowly that Eq. (11) is never valid, the heat being conducted away as it is produced. Equation (56) was used to determine the stability limit in dependence of  $dH/dt$  by means of an analog computer, but the results depend on the type of magnetic disturbance being used as a boundary condition and, moreover,  $\alpha_{e1. mag}$  far from being a constant, renders Eq. (56) a very poor approximation for similar reasons as given above in discussing the heat equation.

In the present treatment  $dH/dt$  enters through  $v_{dr}$  of Eq. (4) into Eq. (48) to influence the limited instability and  $H_{fj}$ ; because of (10) the stability limit is independent of  $dH/dt$ , thus for very small  $dH/dt$  the results are questionable.

In connection with the drift velocity, it should be pointed out that for very slow movements  $v_{dr}$  represents an average speed which may be composed of short quick movements of individual vortices while the others are stationary. Spacing of pinning sites may be of the order of  $10^{-5}$  cm which is the same as the spacing

<sup>30</sup> The GLAG theory is based on thermodynamic equilibrium. See A. A. Abrikosov, Zh. Eksperim. i Teor. Fiz. **32**, 1442 (1957) [English transl.: Soviet Phys.—JETP **5**, 1174 (1957)]; also published in J. Phys. Chem. Solids **2**, 199 (1957)].

<sup>31</sup> C. J. Gorter, Z. Angew. Phys. **14**, 722 (1962).

<sup>32</sup> W. Klose, Phys. Letters **8**, 12 (1964).

<sup>33</sup> J. W. Heaton and A. C. Rose-Innes, Appl. Phys. Letters **2**, 196 (1963); Cryogenics **4**, 85 (1964).

<sup>34</sup> A. A. Abrikosov, Zh. Eksperim. i Teor. Fiz. **47**, 720 (1964) [English transl.: Soviet Phys.—JETP **20**, 480 (1965)]; H. J. Fink and L. J. Barnes, Phys. Rev. Letters **15**, 792 (1965); J. G. Park, *ibid.* **15**, 352 (1965).

<sup>35</sup> C. P. Bean and J. D. Livingston, Phys. Rev. Letters **12**, 14 (1965).

<sup>36</sup> H. A. Ullmaier and W. F. Gauster, J. Appl. Phys. **37**, 4519 (1966).

<sup>37</sup> S. L. Wipf and M. S. Lubell, Phys. Letters **16**, 103 (1965).

<sup>38</sup> M. S. Lubell and S. L. Wipf, J. Appl. Phys. **37**, 1012 (1966).

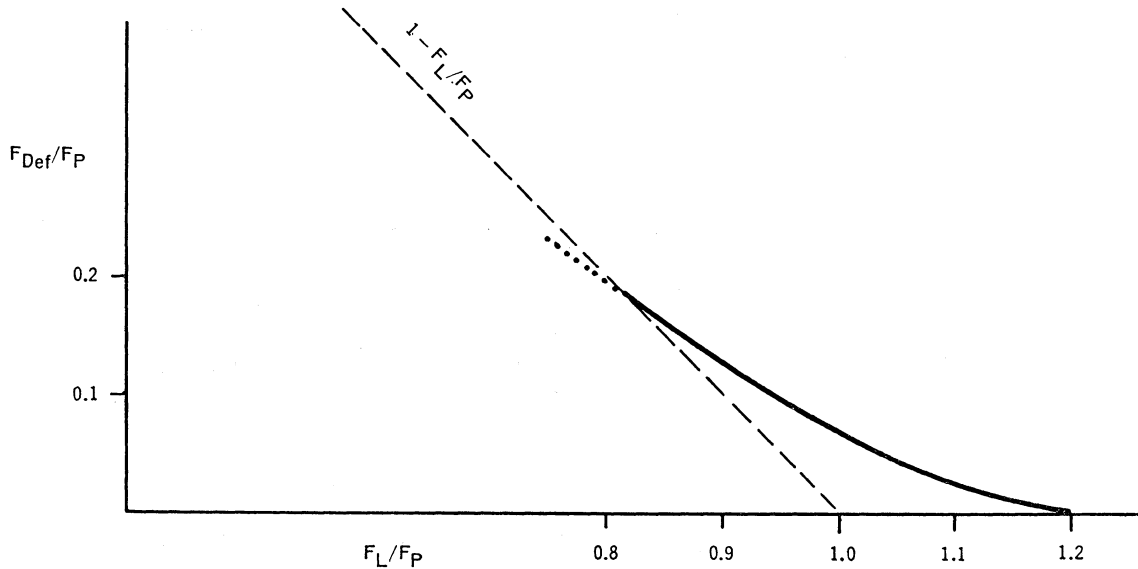


FIG. 6. Qualitative illustration of  $F_{\text{def}}/F_P$  versus  $F_L/F_P$  on the basis of Ref. 40.

of fluxoids at  $B=2000$  G. With the idea of spatially discrete pinning centers and the elasticity of the flux structure<sup>39</sup> (Maxwell tensor) one might assume that a small section of a flux line having cleared a pinning site moves a fraction of this distance, say  $10^{-6}$  cm. If  $v_{dr} < 10^{-6}$  cm/sec (for  $j=10^6$  A/cm<sup>2</sup>,  $dH/dt < 10$  Oe/sec) then this process occurs only once every second. This is also a reason why for very low  $v_{dr}$  the present treatment is poor and why Eq. (56) is no big improvement.

This, lastly, raises the question of the validity of a constant viscosity  $\eta$  in Eq. (3). The nonlinearity of Eq. (3) has been experimentally investigated,<sup>40</sup> and it was found that for  $F_L < F_P$  the drift velocity reaches finite values. In the light of the above model and in order to keep the formalism of Eq. (3) intact, one would have to add to the Lorentz force a force  $F_{\text{def}}$ , which is due to the deformation of the flux structure.  $F_{\text{def}}$  expresses the excess of the steepest local gradient over the average gradient  $dB/dx$  connected [Eq. (2)] with  $F_L$ . Equation (3) then becomes

$$F_L = F_P - F_{\text{def}} + \eta v. \quad (57)$$

$F_{\text{def}}$ , according to the results of Ref. 40, can be expressed as a function of  $F_L/F_P$  and would take values as illustrated in Fig. 6.  $v$  (which can only be positive) is different from zero when

$$F_{\text{def}}/F_P \geq 1 - F_L/F_P. \quad (58)$$

Our criterion of instability as given in (7) or (31) can also be written as

$$\partial/\partial t(F_L/F_P) > 0, \quad (59)$$

but with (59) we have, because of (58), also

$$\partial/\partial t(F_L/(F_P - F_{\text{def}})) > 0. \quad (60)$$

Consequently, the criterion for stability is unaffected by this more refined description. Once the stability limit is exceeded, one has to expect a lower  $v_{\text{max}}$  speed during a limited instability. This in turn leads to a higher runaway field  $H_{fj}$ . At present not enough is known about  $F_{\text{def}}$  and since its relative size ( $\sim 20\%$ ) compares with the scattering of experimental flux jumping data, the mathematical complications are a strong bias against its inclusion.

The geometric assumption of a semi-infinite plane superconductor is not very restrictive because in most cases the shielding layer thickness  $d$  is small compared to the dimensions of the superconductor. Conversion of the calculation into cylindrical coordinates shows that for  $d < 80\%$  of radius  $r$  of a solid cylinder, the change is very small. If the shielding layer grows further towards the center then the danger for instabilities subsides as outlined in the second chapter, Fig. 2(c).

Cooling in zero field has been taken as an initial condition with regard to the magnetic history of a specimen. Normally after a flux jump,  $B$  inside is uniform and equal to  $H$  ( $=H_{fj}$ ) outside,<sup>9</sup> in which case subsequent instabilities can be worked out by applying the same formalism unchanged except for the initial field  $H$  which becomes  $H_{fj}$  instead of zero. Neither does it matter whether, after a flux jump, the field is

<sup>39</sup> P. G. de Gennes and J. Matricon, Rev. Mod. Phys. 36, 45 (1964).

<sup>40</sup> D. E. Farrell, I. Dinewitz, and B. S. Chandrasekhar, Phys. Rev. Letters 16, 91 (1966).

raised or lowered; if  $B=0$  is crossed, some small complications, mentioned in detail later, may arise. In general and for more complicated magnetic histories, it is sufficient to know the (macroscopic) distribution of  $B$  throughout the shielding layer in order to easily adapt the given formulas.

In this context the case of the external field being not parallel to the surface should be mentioned. Again, if the internal distribution of  $B$  is known the present treatment should, in principle, be adaptable. The difficulty in predicting  $B$  in such cases seems at present at least as big an obstacle as the anticipated mathematical complexity. This has been discovered recently for the simple geometry of a long cylinder in a perpendicular field.<sup>41,42</sup>

For the brief discussion of the influence of variables on the results, we shall stay within the assumptions originally adopted and we include external variables such as  $H$ ,  $dH/dt$ , and  $T$  as well as material constants such as  $F_P$ ,  $\partial F_P/\partial T$ ,  $\alpha_{th}$ , and  $c$ .

It may be repeated here that in regions (with regard to  $T$  and  $H$ ) where  $\partial F_P/\partial T > 0$  the stability limit (7) or (58) is never reached and the superconductor is inherently stable. A small argument has been presented which makes plausible why many defects have a pinning strength which decreases with increasing temperature, like  $[1 - (T/T_c)^2]^2$ , but there are many pinning mechanisms possible and there have been reports of  $\partial F_P/\partial T > 0$ .<sup>27,43</sup>

The variation of the stability limit as  $c^{1/2}$  is seen directly from (27) and (30). Many studies<sup>8,44,45</sup> have suggested, at least qualitatively, similar formulas containing the  $c^{1/2}$  dependence. Since  $H_{fj}$  is more or less proportional to  $H_{fi}$ , the influence of  $c$  is reflected in the flux jumping field. Experiments with porous Nb<sub>3</sub>Sn give a good illustration.<sup>45-47</sup> If the pores are filled with liquid helium,  $c$  being the specific heat per unit volume will have a contribution from the heat of vaporization of the liquid, which for completely isothermal conditions can increase  $c$  by a factor of 100, increasing  $H_{fj}$  tenfold.

The effect of the actual size of  $F_P$  is weak, having no influence on the stability limit [Eq. (30)]. Weak pinners, however, often do not fulfill the geometric assumption of infinite thickness and appear therefore more stable.  $F_P$  enters Eq. (48) and thus influences

$H_{fj}$  in Eqs. (51)–(53).  $H_{fj}$  changes in the same sense as  $F_P$ . (See specimen 4 in Ref. 37.) For large  $dH/dt$ ,  $H_{fj}$  will reach a constant value somewhat above  $H_{fi}$ . For small  $dH/dt$ ,  $H_{fj}$  increases logarithmically<sup>48</sup>; an examination of (48) shows that  $v_{max}$  is proportional to  $(dH/dt)e^H$  to a first approximation [taking  $H/F_P(H) = 1/j \approx \text{constant}$ , and  $a_i \propto 1/H$ ;  $x_0 \propto H$ ]; furthermore, at  $t_m$ , the relative increase of the shielding layer  $\Delta x_0/x_0$  is also proportional to  $(dH/dt)e^H$ , using the same approximation (and  $1 \ll \exp a_i x_0^2/\alpha_{th}$ ). This would imply  $H_{fj} \propto \text{constant} - \log dH/dt$ .

The thermal diffusivity has also a comparatively weak influence, changing  $H_{fj}$  in the same sense as itself. The temperature dependence of  $\alpha_{th}$  being close to  $T^{-1}$  tends to counteract the  $[1 - (T/T_c)^2]^2$  dependence of  $F_P$ , resulting in a weak temperature dependence of  $H_{fj}$  in spite of the variation of  $H_{fi}$ .<sup>49</sup>

Finally, we try to find experimental illustration and confirmation of the presented arguments and calculations. Although magnetic instabilities have frequently been observed, they are usually not in the focal point of an investigation and therefore only incidentally reported. Often the geometries are remote from the plane slab, or the material of an inhomogeneous nature, the results therefore only qualitatively comparable.

The present study has partly been stimulated by an experimental investigation of flux jumping in solid NbZr cylinders. Some of the results have been reported<sup>37</sup> and a more detailed description including measurements of  $\alpha_{th}$ ,  $\partial F_P/\partial T$ , and incorporating calculations as outlined here will be published elsewhere. The agreement of these results with the present theory is reasonable; naturally, the choice of the critical constants  $g_1$  and  $g_2$  has been influenced by these experimental results.

Perhaps insufficiently recognized so far is the fact that runaway instabilities are preceded by limited instabilities. The latter often go unnoticed in experiments which measure flux jumping activities because the effect in terms of change in magnetization or amount of flux involved is very much smaller.

However, experiments by Wischmeyer<sup>50</sup> clearly show small rushes of flux, of 100 flux quanta or more, which increase with  $dH/dt$  and are observed under conditions which immediately precede the occurrence of flux jumps and above the stability limit. Another interesting observation is that these limited instabilities are, as expected, also localized with regard to the specimen surface.<sup>51</sup>

Further observations of limited instability have been reported as "flux jumps" of between  $5 \times 10^4$  and  $2 \times 10^7$

<sup>41</sup> M. S. Walker and J. K. Hulm, Appl. Phys. Letters **7**, 114 (1965).

<sup>42</sup> Y. Iwasa and J. E. C. Williams, Appl. Phys. Letters **9**, 391 (1966).

<sup>43</sup> J. Sutton and C. Baker, Phys. Letters **21**, 601 (1966). R. R. Hake, T. G. Berlincourt, and D. H. Leslie, Bull. Am. Phys. Soc. **7**, 474 (1962).

<sup>44</sup> F. Lange, Cryogenics **6**, 176 (1966).

<sup>45</sup> R. Hancox, Phys. Letters **16**, 208 (1965); Appl. Phys. Letters **7**, 138 (1965).

<sup>46</sup> J. M. Corsan, G. W. Coles, and H. J. Goldsmid, Brit. J. Appl. Phys. **15**, 1383 (1964).

<sup>47</sup> P. F. Smith, A. H. Spurway, and J. D. Lewin, Brit. J. Appl. Phys. **16**, 947 (1965).

<sup>48</sup> J. M. Corsan, Phys. Letters **12**, 85 (1964); N. Morton, *ibid.* **19**, 457 (1965).

<sup>49</sup> J. H. P. Watson, J. Appl. Phys. **37**, 516 (1966).

<sup>50</sup> C. R. Wischmeyer and Y. B. Kim, Bull. Am. Phys. Soc. **9**, 439 (1964). See also Fig. 9 in Y. B. Kim, Phys. Today **16**, 21 (1964).

<sup>51</sup> C. R. Wischmeyer, Phys. Letters **19**, 543 (1965).

quanta each where a total flux jump would require at least  $10^8$  quanta.<sup>52</sup> The magnetocaloric effect reported by Zebouni *et al.*,<sup>58</sup> can almost certainly be attributed to limited flux jumping.

It must be pointed out here that a series of limited instabilities can lead to a sufficient relaxation of the Lorentz force, so that real runaway instabilities never occur.

Since the solution of Eq. (40) gives a final velocity  $v_\infty$  [see Eq. (46)] and since there are various measurements of such velocities,<sup>41,54,55</sup> we ought to focus our attention quickly on this point. If during a flux instability a final velocity is reached, it will be given by an integral of Eq. (31), similar to Eq. (46). Of course, neither  $a_i$  nor  $b_i$  is constant. Such a solution would be expected to give smaller speeds than a solution of the diffusion equation:

$$\partial^2 H / \partial x^2 = 4\pi\sigma(\partial H / \partial t), \quad (61)$$

with  $\sigma$  being the normal electrical conductivity. However, in the adiabatic flux jumping limit Eq. (61) could apply. We can therefore say that for flux jumps occurring below  $H_A$  of Ref. 37 the final speed will be lower than a solution of (61) and depend on  $H_{fj}$ . If  $H_{fj} \geq H_A$  (for small  $dH/dt$ , higher temperatures, etc.), then Eq. (61) will apply.

The question of what happens after the runaway speed has been reached has not been treated here. We mentioned earlier that most observed flux jumps end when flux has reached the center of the specimen; but even in the semi-infinite slab the movement will come to a rest since the runaway speed is not infinite and thermal recovery does take place behind the moving flux front. Thus the recovering flux pinning will interrupt the supply of flux. An excellent experimental study by Wertheimer and Gilchrist<sup>56</sup> shows this in the case of very short cylinders.

<sup>52</sup> E. S. Borovik, N. Ya. Fogel', and Yu. A. Litvinenko, *Zh. Eksperim. i Teor. Fiz.* **49**, 438 (1965). [English transl.: *Soviet Phys.—JETP* **22**, 307 (1966)].

<sup>53</sup> N. H. Zebouni, A. Venkataram, G. N. Rao, C. G. Grenier, and J. M. Reynolds, *Phys. Rev. Letters* **13**, 606 (1964).

<sup>54</sup> R. B. Flippen, *Phys. Letters* **17**, 193 (1965).

<sup>55</sup> B. B. Goodman and M. Wertheimer, *Phys. Letters* **18**, 236 (1965).

<sup>56</sup> M. R. Wertheimer and J. Le G. Gilchrist, *J. Phys. Chem. Solids* (to be published). (See Ref. 55).

It has been noticed in certain experiments that, immediately after a flux jump, no or very little flux is admitted. This indicates the influence of the surface critical current and is especially noticeable when  $\Delta H_S$  is comparable to  $(dB/dx)r$ , which is normally the case for low- $\kappa$  material like Nb,<sup>57</sup> or for weak pinners like Pb-Bi alloys. In this context another influence has recently come to notice; it was reported<sup>58</sup> that what must be surface originating instabilities occur under otherwise equal stability conditions only when flux is leaving the sample but not when entering. In this particular case the entropy of the flux lines,<sup>59</sup> needed to create the fluxoids when entering the sample and set free when fluxoids leave, will create a change of the surface temperature of  $\sim 1 \times 10^{-3}$  °K.<sup>60</sup> This temperature change being negative when fluxoids enter the specimen stabilizes  $\Delta H_S$ ; being positive when fluxoids leave, it adds to the Joule heating, thus increasing the instability conditions.

It has been suggested that the annihilation of flux lines in the region where  $B=0$  is a crucial influence in causing flux jumps.<sup>6,61,62</sup> But in many cases such an influence can hardly be noticed.<sup>47,49</sup> The annihilation of flux lines which will release additional energy will doubtlessly complicate the picture in the region  $B=0$  along with the possibility of a Meissner state (for  $B < H_{c1}$ ) and consequently an intermediate state between the regions of opposing flux; but fortunately in most cases the influence is negligible.

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<sup>57</sup> S. H. Goedemoed, C. Van Kolmeschate, J. W. Metselaar, and D. de Klerk, *Physica* **31**, 573 (1965).

<sup>58</sup> R. W. Rollins and J. Silcox, *Phys. Letters* **23**, 531 (1966).

<sup>59</sup> F. A. Otter, Jr., and P. R. Solomon, *Phys. Rev. Letters* **16**, 681 (1966). (See also Ref. 6.)

<sup>60</sup> Note that in Ref. 58 there is an error concerning the diameter of the specimen, which should be 34 mil (=0.86 mm) and not 34 mm as printed (private communication by J. Silcox). To arrive at the surface temperature change, heat transfer values extrapolated from A. P. Dorey [*Cryogenics* **5**, 146 (1965)] and A. Karagounis [*Bull. Int. Inst. Refrig. Annex* **2**, 195 (1956)] were used.

<sup>61</sup> M. R. Beasley, W. A. Fietz, R. W. Rollins, J. Silcox, and W. W. Webb, *Phys. Rev.* **137**, A1205 (1965).

<sup>62</sup> C. R. Wischmeyer, *Phys. Letters* **18**, 100 (1965).