

The large  $|\mathbf{q}|$  behavior of  $\varphi_1(k-q)|_{q_0=\pm\omega, k=0}$  is clearly controlled by the constant term on the right-hand side of Eq. (7),  $[2M_1(f_0) - F_0(f_0)]$ . However, because of a cancellation between positive- and negative-frequency terms, the large  $|\mathbf{q}|$  behavior of  $J(q, k_0)$  is *not* controlled by the above constant term, but instead is controlled by the  $C$  term of Eq. (7). Thus,

$$J(\mathbf{q}, k_0) \xrightarrow{|\mathbf{q}| \rightarrow \infty} -2C[\ln(|\mathbf{q}|/m)]^2 / (2k_0|\mathbf{q}|)^2, \quad (9)$$

which depends<sup>3</sup> on  $k_0$ ; therefore the subtractions indicated in the computation of  $\mathfrak{M}(k; \varphi_1)$  from  $M(k; \varphi_1)$  [see Eq. (2)] cannot produce a finite result for  $\mathfrak{M}(k; \varphi_1)$ . This result when coupled with Eq. (5) completes our proof that the power-series-expansion solution of Eq. (1) fails in fifth order of the coupling constant.

It is a pleasure to thank Dr. Robert N. Hill for a helpful discussion.

<sup>3</sup> It should be noted that the inclusion of higher-order terms in Eq. (7) cannot alter Eq. (9).

## Off-Shell Unitarity for Two Spin- $\frac{1}{2}$ Particles

M. I. SOBEL\*

Brooklyn College of the City University of New York, Brooklyn, New York

(Received 30 November 1966)

The implications of off-shell unitarity for the system of two spin- $\frac{1}{2}$  particles are examined. It is found that unitarity and time-reversal invariance imply a parametrization of the half-off-shell scattering amplitude which is identical to a formula previously found from a potential model. The amplitude is expressed in terms of the on-shell phase shifts and additional real quasi-phase-parameters.

IN recent papers<sup>1</sup> a parametric representation was given for the half-off-energy-shell element of the proton-proton scattering matrix. This representation was derived from potential theory, and describes each partial wave in terms of the on-shell phase shifts and mixing parameters, together with additional real numbers called quasi-phase parameters. The purpose of this paper is to show that the parametrization found is a consequence of off-shell unitarity and time-reversal invariance for a system of two spin- $\frac{1}{2}$  particles. We also give a simple derivation of a factorization theorem discussed recently by Kowalski<sup>2</sup> for the full-off-shell amplitude.

The general transition matrix  $T$  is defined in terms of the kinetic-energy operator  $K$  and the potential  $V$  by

$$T(E) = V[1 + (E + i\epsilon - K - V)^{-1}V]. \quad (1)$$

The matrix elements of  $T(E)$  between initial and final plane wave states  $\varphi_{\mathbf{P}_i}$  and  $\varphi_{\mathbf{P}_f}$  are related to the center-of-mass (c.m.)  $M$  matrix by

$$\langle \varphi_{\mathbf{P}_f} | T(E) | \varphi_{\mathbf{P}_i} \rangle = -(4\pi^2\mu)^{-1} M_\kappa(\mathbf{k}', \mathbf{k}) \delta^3(\mathbf{P}_f - \mathbf{P}_i). \quad (2)$$

Here  $\mathbf{P}_i$  ( $\mathbf{P}_f$ ) is the initial (final) momentum,  $E$  is the total energy, and  $\mathbf{k}$  ( $\mathbf{k}'$ ) and  $\kappa^2/2\mu$  are these quantities in the c.m. system;  $\mu$  is the reduced mass.  $M_\kappa$  is a  $4 \times 4$

matrix if the interacting particles have spin  $\frac{1}{2}$ . Only the on-energy-shell amplitudes, for which  $\kappa = k = k'$ , are measured by elastic-scattering experiments. Double-scattering processes involve half-off-shell elements for which either  $\kappa = k \neq k'$  or  $\kappa = k' \neq k$ . It is well known that these can be calculated from a potential model by integration over the potential. Full-off-shell amplitudes appear in higher-order processes, and cannot be directly calculated from a potential.

The unitarity condition expressed in terms of  $M$  is<sup>2</sup>

$$\frac{1}{2}i[M_\kappa^\dagger(\mathbf{k}', \mathbf{k}) - M_\kappa(\mathbf{k}, \mathbf{k}')] = (\kappa/4\pi) \int d\Omega M_\kappa^\dagger(\mathbf{k}', \boldsymbol{\kappa}) M_\kappa(\boldsymbol{\kappa}, \mathbf{k}), \quad (3)$$

where  $\Omega$  describes the direction of  $\boldsymbol{\kappa}$ .

Consider first the case of the singlet states. The singlet element of  $M$  can be expanded in the form

$$M_\kappa(\mathbf{k}', \mathbf{k}) = \frac{1}{i\kappa} \sum_l \left( \frac{2l+1}{2} \right) \alpha_{\kappa^l}(k', k) P_l(\hat{\mathbf{k}}' \cdot \hat{\mathbf{k}}), \quad (4)$$

where  $P_l$  is a Legendre polynomial. Equation (3) then becomes

$$\alpha_{\kappa^*}(k', k) + \alpha_\kappa(k, k') = -\alpha_{\kappa^*}(k', \kappa) \alpha_\kappa(\kappa, k). \quad (5)$$

For simplicity we suppress the index  $l$ . If  $\kappa = k = k'$ , this equation implies that

$$\alpha_\kappa(\kappa, \kappa) = 2ie^{i\delta^*(\kappa)} \sin \delta^*(\kappa) \quad (6)$$

\* Partially supported by a grant from the National Science Foundation.

<sup>1</sup> M. I. Sobel, Phys. Rev. **138**, B1517 (1965); A. H. Cromer and M. I. Sobel, *ibid.* **152**, 1351 (1966).

<sup>2</sup> K. L. Kowalski, Phys. Rev. **144**, 1239 (1966); C. Lovelace, in *Lectures at the 1963 Edinburgh Summer School*, edited by R. G. Moorhouse (Oliver and Boyd, London, 1964).

for some real phase shift  $\delta^s$ , which is the standard condition of elastic unitarity. For  $\kappa = k \neq k'$  we have

$$\alpha_{\kappa}^*(k', \kappa) + \alpha_{\kappa}(k, k') = -\alpha_{\kappa}^*(k', \kappa)\alpha_{\kappa}(k, \kappa), \quad (7)$$

which is the half off-shell unitarity condition. If time-reversal invariance is imposed, we have  $\alpha_{\kappa}(k, k') = \alpha_{\kappa}(k', \kappa)$ , so that the left side of Eq. (7) becomes  $2 \operatorname{Re} \alpha_{\kappa}(k', \kappa)$ . Thus the equation implies that the phase of  $\alpha_{\kappa}^*(k', \kappa)$  is opposite to that of  $\alpha_{\kappa}(k, \kappa)$ , and

$$\alpha_{\kappa}(k', \kappa) = 2ie^{i\delta^s(\kappa)} \Delta_{\kappa}^s(k', \kappa). \quad (8)$$

Here  $\Delta^s$  is a real number called the singlet quasi-phase-parameter.

For the triplet states,<sup>3</sup> the  $M$  matrix is expanded in terms of spherical harmonics of the angle between  $\mathbf{k}$  and  $\mathbf{k}'$ . We consider a particular total angular momentum  $j$ . The states for which the orbital angular momentum  $l$  is equal to  $j$  do not mix with the states  $l = j \pm 1$ . Therefore the argument used for the singlet state

applies and the scattering amplitude takes the form  $2i \exp[i\delta(\kappa)] \Delta_{\kappa}(k', \kappa)$ , where  $\delta$  is the standard triplet  $l = j$  phase shift.

For the mixed states  $l = j \pm 1$ ,  $\alpha$  becomes a  $2 \times 2$  matrix with rows (columns) corresponding to initial (final) states  $l = j - 1$  and  $l = j + 1$ , respectively,

$$\alpha_{\kappa}(k', \kappa) = \begin{pmatrix} \alpha_{\kappa}^{11}(k', \kappa) & \alpha_{\kappa}^{12}(k', \kappa) \\ \alpha_{\kappa}^{21}(k', \kappa) & \alpha_{\kappa}^{22}(k', \kappa) \end{pmatrix}. \quad (9)$$

On the energy shell, time-reversal invariance implies  $\alpha^{12} = \alpha^{21}$ , but in the general case the matrix is not symmetric. Time-reversal invariance implies

$$\alpha_{\kappa}(k', k) = \alpha_{\kappa}^T(k, k'). \quad (10)$$

Thus, instead of Eq. (7), we have

$$\alpha_{\kappa}^{\dagger}(k', \kappa) + \alpha_{\kappa}^T(k', \kappa) = -\alpha_{\kappa}^{\dagger}(k', \kappa)\alpha_{\kappa}(k, \kappa). \quad (11)$$

The on-shell expression for  $\alpha$ , if we use the Blatt-Biedenharn parametrization, is<sup>4</sup>

$$\alpha_{\kappa}(k, \kappa) = 2i \begin{pmatrix} \cos^2 \epsilon e^{i\delta_-} \sin \delta_- + \sin^2 \epsilon e^{i\delta_+} \sin \delta_+ & \sin \epsilon \cos \epsilon (e^{i\delta_-} \sin \delta_- - e^{i\delta_+} \sin \delta_+) \\ \sin \epsilon \cos \epsilon (e^{i\delta_-} \sin \delta_- - e^{i\delta_+} \sin \delta_+) & \sin^2 \epsilon e^{i\delta_-} \sin \delta_- + \cos^2 \epsilon e^{i\delta_+} \sin \delta_+ \end{pmatrix}. \quad (12)$$

Here  $\epsilon$  and  $\delta_{\pm}$  are the mixing parameters and the  $l = j \pm 1$  phase shifts, evaluated at energy  $\kappa^2/2\mu$ . If we put Eqs. (9) and (12) into Eq. (11) we find four equations, which imply that the quantities

$$\frac{1}{2}ie^{i\delta_-}(\alpha^{11*} + \alpha^{21*} \tan \epsilon), \quad \frac{1}{2}ie^{i\delta_+}(\alpha^{11*} - \alpha^{21*} \cot \epsilon), \quad \frac{1}{2}ie^{i\delta_-}(\alpha^{22*} + \alpha^{12*} \cot \epsilon), \quad \frac{1}{2}ie^{i\delta_+}(\alpha^{22*} - \alpha^{12*} \tan \epsilon),$$

are real. Here the  $\alpha$ 's are  $\alpha_{\kappa}(k', \kappa)$ . If we define these four quantities as  $\Delta_{-}^{-}$ ,  $\Delta_{+}^{-}$ ,  $\Delta_{-}^{+}$ , and  $\Delta_{+}^{+}$ , respectively, and then solve for the  $\alpha$ 's, we find

$$\alpha_{\kappa}(k', \kappa) = 2i \begin{pmatrix} \cos^2 \epsilon e^{i\delta_-} \Delta_{-}^{-} + \sin^2 \epsilon e^{i\delta_+} \Delta_{+}^{-} & \sin \epsilon \cos \epsilon (e^{i\delta_-} \Delta_{-}^{+} - e^{i\delta_+} \Delta_{+}^{+}) \\ \sin \epsilon \cos \epsilon (e^{i\delta_-} \Delta_{-}^{-} - e^{i\delta_+} \Delta_{+}^{-}) & \cos^2 \epsilon e^{i\delta_+} \Delta_{+}^{+} + \sin^2 \epsilon e^{i\delta_-} \Delta_{-}^{+} \end{pmatrix}. \quad (13)$$

Equation (13), together with the forms for the unmixed states, describes the parameterization previously found from a potential model. Thus any attempt to fit the off-shell scattering matrix to experimental data by searching for real quasi-phase-parameters  $\Delta$  will automatically satisfy unitarity.

Finally, we note that Eq. (5) implies in a simple way the factorization property for the full-off-shell amplitude which has been proved in some recent papers.<sup>2,5</sup> If  $\alpha_{\kappa}(k', k) \equiv 2i\chi_{\kappa}(k', k)$ , then, using Eq. (5), we have

$$\operatorname{Im} \chi_{\kappa}(k', k) = \Delta_{\kappa}(k', \kappa) \Delta_{\kappa}(k, k) \quad (14)$$

for the singlet case. So the imaginary part can be calculated in terms of quasi-phase-parameters, and hence, from a potential model. Unitarity supplies no information about  $\operatorname{Re} \chi$ .

<sup>3</sup> I am indebted to Dr. L. Heller for a discussion on this point.

<sup>4</sup> M. J. Moravcsik, *The Two-Nucleon Interaction* (Clarendon Press, Oxford, England, 1963).

<sup>5</sup> H. P. Noyes, Phys. Rev. Letters **15**, 538 (1965).