## Vibronic States of Octahedral Complexes

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The interactions which occur between nuclear and electronic motions in electronically degenerate states of octahedral molecules are investigated.

#### INTRODUCTION

**I**N 1937, Jahn and Teller<sup>1,2</sup> showed that electronically degenerate states of any li degenerate states of nonlinear molecules are unstable with respect to certain asymmetric displacements of their nuclei. This effect has been adduced in the interpretation of certain magnetic effects,3-5 and presumably also accounts for certain facts of stereochemistry.6,7

Recently<sup>8-11</sup> it has been shown that when due account is paid to the role of nuclear kinetic energies, this "instability" may often be regarded, not so much as leading to configurations of lower symmetry, but rather as giving rise to a special coupling between low-frequency electronic motions and vibrational modes. A very similar situation was investigated much earlier by Renner,<sup>12</sup> with reference to the vibrational structure of linear triatomic molecules in  $\Pi$  states. In the present note we analyze the form which this coupling takes for the degenerate states of octahedral complexes.

We consider a particular electronic level which is g-fold degenerate in a regular octahedral field. For simplicity, we suppose this level to be well separated from other electronic levels. An orthonormal set of functions  $\psi_{K^0}$ ,  $(K=1, \dots, g)$ , is then chosen to represent the electrons when the nuclei are held in an octahedral configuration which, without loss of generality, we may take to be stable with respect to totally symmetric displacements. These functions may be "continued" in a unique fashion by the addition of power series in the nuclear displacements, so that they represent the electronic state for distorted nuclear arrangements also.<sup>9</sup> The latter set of functions, which we call  $\psi_K$ ,  $(K=1, \cdots, g)$ , contains the nuclear coordinates parametrically only in so far as it includes "high-

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   <sup>8</sup> A. D. Liehr and W. Moffitt, J. Chem. Phys. 25, 1074 (1956).
   <sup>9</sup> W. Moffitt and A. D. Liehr, Phys. Rev. 106, 1195 (1957).
   <sup>10</sup> A. D. Liehr and W. Moffitt, J. Chem. Phys. (to be published).
   <sup>11</sup> W. Moffitt and W. Thorson, J. Chim. Phys. (to be published).
   <sup>12</sup> R. Renner, Z. Physik 92, 172 (1934); the authors are indebted
- to Dr. D. A. Ramsey for drawing their attention to this article.

frequency" polarizations of the electronic motions: if Vis the electronic Hamiltonian, which differs from the total Hamiltonian in the absence of the nuclear kinetic energies alone, then

$$V\psi_L = \sum_K \psi_K V_{KL}, \quad (K, L=1, \cdots, g), \qquad (1)$$

where the  $V_{KL}$  are power series in the nuclear displacement coordinates and span a  $g \times g$  matrix representation of V referred to the  $\psi_K$  as basis. With a "normal" choice for the nuclear coordinates  $Q_r$ ,  $(r=1, \dots, p)$ , the matrix V takes the form

$$V_0 + \frac{1}{2} \sum_r k_r Q_r^2 + V'$$

where  $V_0$ ,  $k_r$  are constants and V' contains, in addition to the usual anharmonic terms, certain other, and in particular linear terms which characterize the Jahn-Teller effect. Since it is the appearance of linear terms in V' that is immediately responsible for "instability," we shall take for it the simplified form

$$V' = \sum_{r} l_r Q_r v_r, \tag{2}$$

which may be said to define the "linear" Jahn-Teller effect; here  $l_r$  and  $O_r$  are both real, the one a potential constant and the other a nuclear coordinate, and  $v_r$  is a dimensionless  $g \times g$  Hermitian matrix. We shall choose our origin for the energy in such a way that  $V_0=0$ , and may note that V' contains nontotally symmetric displacements only. It should be remarked that this simple form for V' may lead to certain "accidental" degeneracies which are removed by the inclusion of quadratic and higher terms. Also that, just as the set  $\psi_{K}^{0}$  and therefore its continuation  $\psi_{K}$  are arbitrary to the extent of a unitary transformation, so is V'. In the sequel, we shall specify V' in that representation which displays its structure most conveniently.

When the composite electronic and vibrational (hence "vibronic") problem is considered, we must add to V the kinetic energy T of the nuclei, namely,

$$T = \frac{1}{2} \sum_{r} P_r^2 / \mu_r$$

where  $P_r$  is the momentum canonically conjugate to the nuclear displacement  $Q_r$ , of effective mass  $\mu_r$ . The full Hamiltonian is therefore

$$H = T + V = H_0 + V',$$
  

$$H_0 = \frac{1}{2} \sum_r (P_r^2 + \mu_r^2 \omega_r^2 Q_r^2) / \mu_r,$$
(3)

where we have set  $k_r = \mu_r \omega_r^2$ . It has been shown<sup>9</sup> that,

<sup>&</sup>lt;sup>1</sup> H. A. Jahn and E. Teller, Proc. Roy. Soc. (London) A161, 220 (1937)

<sup>&</sup>lt;sup>2</sup> H. A. Jahn, Proc. Roy. Soc. (London) A164, 117 (1938). <sup>3</sup> J. H. Van Vleck, J. Chem. Phys. 7, 61 (1939). <sup>4</sup> J. H. Van Vleck, J. Chem. Phys. 7, 72 (1939).

<sup>&</sup>lt;sup>5</sup> A. Abragam and M. H. L. Pryce, Proc. Phys. Soc. (London) A63, 409 (1950).

when V' is represented by the  $g \times g$  matrix referred to the  $\psi_K$ , the use of this Hamiltonian is the analog for electronically degenerate states of the Born-Oppenheimer approximation. Since we take V' to be linear in the  $Q_r$ , it is clear that those vibrational modes whose coordinates do not appear in V' are unaffected by the Jahn-Teller effect; we therefore omit them from  $H_0$ .

If the nuclei are very heavy, or if V' is very large, the nuclei may be treated as moving on the potential energy surfaces defined by the latent roots of the matrix V. This situation has been treated in some detail already by Van Vleck<sup>3,4</sup> and by Öpik and Pryce.<sup>7</sup> The vibronic problem is also simple when the matrices  $v_r$ all commute, since the matrix H may be brought to diagonal form by means of a unitary transformation. Each nonvanishing element then represents a set of displaced harmonic oscillators. However, the physical situation is often complicated by the fact that the various  $v_r$ 's do not commute. Accordingly, the forces tending to distort the octahedral configuration will compete with each other: an interesting coupling between electronic and nuclear motions arises.

In what follows, we shall list the forms taken by V'for the various degenerate states of octahedral complexes (of point group  $O_h$ ). After briefly reviewing the appropriate potential energy sheets in each case, we shall discuss in greater detail the concomitant vibronic problems. However, before proceeding, we shall define the notation we adopt for the vibrational modes: Jahn and Teller showed that only nuclear displacements of species  $\epsilon_g$  and  $\tau_{2g}$  appear linearly in V'. If our molecule consists of six equivalent atoms V, octahedrally arranged about a central atom X, then there is only one mode of either species. In any case, we shall suppose that if there are more modes, only one of each type is involved; this merely requires us to distinguish between the effective masses of each. The  $\tau_{2g}$  mode is triply degenerate and the specification of suitable normal coordinates is therefore arbitrary to the extent of a real orthogonal transformation. We take as a suitable set  $Q_1$ ,  $Q_2$ , and  $Q_3$ , which transform like—or, for  $XY_6$ molecules, are to be identified with-Van Vleck's Q4,  $Q_5$ , and  $Q_6$ , respectively.<sup>3</sup> The  $\epsilon_g$  mode is doubly degenerate, and we choose as our coordinates  $q_1$  and  $q_2$ , which transform, respectively, like Van Vleck's Q3 and  $Q_2$ . The convenience of our alternative notation will appear almost immediately.

### $E_g$ AND $E_u$ STATES

There are two doubly degenerate, single-valued representations of the point group  $O_h$ , namely  $E_g$  and  $E_u$ . Electronic states may be said to have either symmetry under two different conditions. In the first place, when spin-orbit and spin-spin forces are very weak: the species symbol then refers to transformation properties of the orbital coordinates alone—for the effective Hamiltonian is invariant under the full spin rotation group, so that we may confine ourselves to a two-

dimensional manifold subtended by the eigenfunctions with a particular set of values  $S, M_S$ . In the second place, the spin-orbit forces may be very strong for a molecule with an even number of electrons: the species symbol then refers to properties under transformations applied simultaneously to both space and spin coordinates.

In either event and for both  $E_g$  and  $E_u$  states, the two contributions to the vibronic Hamiltonian may be written

$$H_{0}^{(\epsilon)} = \frac{1}{2} \Big[ (p_{1}^{2} + p_{2}^{2}) + \mu_{\epsilon}^{2} \omega_{\epsilon}^{2} (q_{1}^{2} + q_{2}^{2}) \Big] / \mu_{\epsilon},$$

$$V' = l_{\epsilon} (q_{1} \sigma_{1} + q_{2} \sigma_{2}),$$
(4)

where  $\sigma_1$ ,  $\sigma_2$ , and  $\sigma_3$  are the Pauli matrices

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

It may be noticed that only the  $\epsilon_g$  mode is active in the Jahn-Teller effect.

The potential energy sheets have been described elsewhere<sup>3,7,9,11</sup>; suffice it to say that they have cylindrical symmetry "accidentally," with a continuous series of minima on the circle of radius  $|l_{\epsilon}/k_{\epsilon}|$  in  $q_1, q_2$  space. These correspond, in general, to configurations of symmetry  $D_{2h}$ , and at three different points to the higher tetragonal symmetry  $D_{4h}$ .

This same symmetry is shown by the vibronic problem: it is easy to see that

$$\Lambda = 2m_3 + \sigma_3 = 2\hbar^{-1}(q_1p_2 - q_2p_1) + \sigma_3$$

commutes with  $H=H_0+V'$  and has an infinite discrete spectrum formed by the odd integers, both positive and negative. Indeed, the operator  $O=R\sigma_1$ , commutes with H and anticommutes with  $\overline{\Lambda}$ , R being a reflection in the  $q_1$  axis, so that each energy level is doubly-degenerate and characterized by equal and opposite values of  $\overline{\Lambda}$ .

This vibronic problem arose in a different context,<sup>8</sup> and has already been solved numerically elsewhere.<sup>11</sup> We shall therefore devote the remainder of the section to rederiving the results of second-order perturbation theory, introducing a method which will be useful in the sequel.

Suppose that l of (4) is small, so that we may develop the eigenvalues of H as a power series in l. This means that the Jahn-Teller effect is weak and may be treated as simply modifying the normal pattern for the relevant vibrational mode. We adopt a representation in which  $H_0$  is diagonal, so that its rows and columns are labeled not only by K, referring to the electronic functions, but also by n, b—here  $E_n$  is an eigenvalue of  $H_0$  and the index b serves to distinguish its various eigenfunctions. In the present example,  $E_n = (n+1)\hbar\omega_{\epsilon}$  and b takes (n+1) different values, the eigenvalues of  $m_3$ , say. Now, the matrix elements of V' in this representation connect only states for which the quantum number

*n* changes (by unity). The second-order energy eigenvalues and the correct zeroth-order functions are therefore determined together as the latent roots and latent vectors of the matrix  $H_2$ —the second-order energy matrix—whose elements are typified by

$$\begin{aligned} (Knb | H_2 | K'nb') &= \sum_{L} \sum_{m} \sum_{a} (E_n - E_m)^{-1} \\ &\times (Knb | V' | Lma) (Lma | V' | K'nb'). \end{aligned}$$

Now, since the elements of V' vanish unless  $m=n\pm 1$ , we may replace  $(E_n-E_m)^{-1}$  by  $(E_n-E_m)/(\hbar\omega)^2$ . Accordingly our typical element becomes

$$(Knb | V'(E_n - H_0)V' | K'nb'),$$

by matrix multiplication, and this is just the appropriate representative of

$$\frac{1}{2}[[H_0, V'], V']/(\hbar\omega)^2 = H_2, \tag{5}$$

which we have therefore identified with the secondorder energy matrix. It should be remembered, however, that  $H_2$  has been defined over a manifold with a fixed value of n, and it is therefore generally only in this sense that the identification is made.

For the present problem it is easily seen that the energy matrix, correct to the second order in  $l_{\epsilon}$ , may be written  $H_0+H_2$ , where

$$H_2 = -l_{\epsilon}^2 (1 + m_3 \sigma_3) / \mu_{\epsilon} \omega_{\epsilon}^2. \tag{6}$$

In this approximation, both  $m_3$  and  $\sigma_3$  commute with the Hamiltonian—indeed, together with  $H_0$ , they form a complete set of commuting observables. The secondorder energies are therefore found by inserting on the right-hand side of (6) the eigenvalues  $-n, -n+2, \cdots$ , or *n* for  $m_3$  and  $\pm 1$  for  $\sigma_3$ . It might be noticed that the term in  $m_3\sigma_3$ , which is responsible for the splitting of each level *n*, after the first (n=0), is entirely analogous to the term describing spin-orbit coupling in a diatomic molecule.

### $T_{1g}$ , $T_{1u}$ , $T_{2g}$ AND $T_{2u}$ STATES

As in the case of the *E* species, we speak of electronic states as having symmetry *T* either when spin-orbit interaction is very weak, or when it is very strong. In both cases, and irrespectively of whether the states are more specifically characterized by subscripts 1 or 2, g or u, V' contains both  $\epsilon_g$  and  $\tau_{2g}$  modes and may be written

$$V' = l_{\epsilon}(q_1\epsilon_1 + q_2\epsilon_2) + l_{\tau}(Q_1\tau_1 + Q_2\tau_2 + Q_3\tau_3).$$
(7)

 $H_0$  contains, in addition to the term so designated in (4), also

$$H_0^{(\tau)} = \frac{1}{2} \Big[ (P_1^2 + P_2^2 + P_3^2) + \mu_\tau^2 \omega_\tau^2 (Q_1^2 + Q_2^2 + Q_3^2) \Big] / \mu_\tau.$$
(8)

The matrices  $\epsilon$  and  $\tau$ , in their order of appearance, are,

respectively,

$$\frac{1}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}, \quad \frac{\sqrt{3}}{2} \begin{pmatrix} -1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}; \\
\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}.$$

They have the following useful properties: regarding  $\tau_1$ ,  $\tau_2$ ,  $\tau_3$  as the components of a vector  $\boldsymbol{\tau}$ ,

$$\boldsymbol{\tau} \times \boldsymbol{\tau} = -i\boldsymbol{\lambda}, \quad \boldsymbol{\tau}^2 = 2, \tag{9}$$

where the three components of the vector matrix  $\lambda$  are

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix},$$

respectively. These may be identified with the angular momentum matrices, in units of  $\hbar$ , for a P state, since

$$\lambda \times \lambda = i\lambda, \quad \lambda^2 = 1(1+1) = 2.$$

Finally, we note that  $\epsilon_1^2 + \epsilon_2^2 = 1$ , the unit matrix.

The potential energy surfaces may be constructed somewhat arduously. Öpik and Pryce have given the stationary points, of which three represent equivalent tetragonal distortions  $(D_{4h})$  due to the  $\epsilon_g$  mode alone, four represent equivalent trigonal distortions  $(D_{3d})$  due to the  $\tau_{2g}$  mode alone, and the six remaining stationary points represent configurations of lower symmetry  $(C_i)$ obtained by combining both modes. They have shown that the last six are never stable. The tetragonal configurations are stable if and only if  $l_{\tau}^2 k_{\epsilon}/l_{\epsilon}^2 k_{\tau} < \frac{3}{4}$ whereas the trigonal arrangements are stable if and only if  $l_{\tau}^2 k_{\epsilon}/l_{\epsilon}^2 k_{\tau} > \frac{3}{4}$ . It is clear that the solutions of the vibronic problem are not simple.

Let us first consider the case where  $l_{\tau}$  vanishes, so that we have only to deal with tetragonal distortions due to the  $\epsilon_{g}$  mode. The Hamiltonian is now diagonal, since  $H_{0}$ ,  $\epsilon_{1}$ , and  $\epsilon_{2}$  are all diagonal. The vibrational spectrum is therefore very simple, and indeed differs from that of the undistorted octahedron  $(l_{\tau}=0=l_{\epsilon})$ only in a uniform shift  $-l_{\epsilon}^{2}/2k_{\epsilon}$  of all levels. The degeneracies are not split at all, to this approximation, and each row of the Hamiltonian refers to states which are distorted along one of the three equivalent tetragonal axes.

Next, consider the case where  $l_{\epsilon}=0$ , so that we have only to deal with trigonal distortions. Since the components of  $\tau$  do not commute, the problem involves a coupling between the electronic and the nuclear degrees of freedom. Its general solution is not obvious, and must probably await numerical treatment—as was the case for the vibronic problem (4). However, it is not difficult to obtain the results, correct to second order, of the eigenvalue problem whose Hamiltonian is

$$H_0^{\tau} + l_{\tau}(Q_1\tau_1 + Q_2\tau_2 + Q_3\tau_3), \qquad (10)$$

in the event that  $l_{\tau}$  is small. Adopting a representation in which  $H_0^{\tau}$  is diagonal, it is easy to verify, by use of equations (5) and (9), that the second-order energy matrix is

$$H_2^{\tau} = \frac{1}{2} l_{\tau^2} (\mathbf{M} \cdot \boldsymbol{\lambda} - 2) / \mu_{\tau} \omega_{\tau^2}, \qquad (11)$$

where **M** is the vector  $\hbar^{-1}\mathbf{Q} \times \mathbf{P}$  representing the angular momentum of the nuclei in **Q**-space and  $\lambda$ , in a certain sense, may be regarded as the electronic angular momentum, both being in units of  $\hbar$ . The second-order problem is therefore closely analogous to Russell-Saunders coupling in atoms: it has spherical symmetry "accidentally." Indeed,  $\mathbf{M}^2$ ,  $\lambda^2$ ,  $(\mathbf{M}+\lambda)^2$ , and  $M_3+\lambda_3$ , together with  $H_0^{\tau}$ , form a complete set of commuting observables. In this approximation, therefore, the energies are just

$$(n_{\tau}+\frac{3}{2})\hbar\omega_{\tau}+\frac{1}{4}l_{\tau}^{2}[L(L+1)-M(M+1)-6]/\mu_{\tau}\omega_{\tau}^{2},$$
 (12)

where L(L+1) and M(M+1) are the eigenvalues of  $(\mathbf{M}+\lambda)^2$  and  $\mathbf{M}^2$ , respectively. For a given value of  $n_{\tau}$ , M ranges from  $n_{\tau}$ ,  $n_{\tau}-2$ ,  $\cdots$ , to 0 or 1, depending on whether  $n_{\tau}$  is even or odd; for a given value of M, L takes the three values M+1, M and M-1 when  $M \ge 1$ , or the single value 1 when M=0.

At the other extreme, namely when  $|l_{\tau}|$  is very large (though  $l_{\epsilon}$  still vanishes), the stabilization which may be attained on assuming one of the four equivalent trigonally distorted configurations is much greater than  $\hbar\omega_{\tau}$ . The potential energy sheets are cubic hypersurfaces, all of whose equipotentials are determined by constant values of  $Q_1^2 + Q_2^2 + Q_3^2$  and  $Q_1Q_2Q_3$ . Their minima are determined by the conditions

$$Q_1| = |Q_2| = |Q_3|, \quad Q_1Q_2Q_3 = -\Delta^3, \quad \Delta = 2l_\tau/3k_\tau$$

which are satisfied at the corners of a tetrahedron in **Q**-space; each corresponds to a molecule of symmetry  $D_{3d}$ , rather than  $O_h$ , which is some  $l_\tau \Delta = 2l_\tau^2/3k_\tau$  more stable than the octahedral arrangement. In the event that  $l_\tau \Delta \gg \hbar \omega_\tau$ , the motion is confined to regions about the four minima, at least for low energies. For example, near the point  $Q_1 = Q_2 = Q_3 = -\Delta$ , the form taken by the vibronic problem may be obtained as follows: we first introduce new coordinates referring to displacements from this point, namely

$$D_1 = Q_1 + \Delta, \quad D_2 = Q_2 + \Delta, \quad D_3 = Q_3 + \Delta,$$

whose canonically conjugate momenta are  $P_1$ ,  $P_2$ ,  $P_3$  as before. At low energies, the *D*'s are small with respect to  $\Delta$ , which prompts us to make a similarity transformation such that  $(\tau_1 + \tau_2 + \tau_3)$  of

$$V' = l_{\tau} \{ -\Delta(\tau_1 + \tau_2 + \tau_3) + D_1 \tau_1 + D_2 \tau_2 + D_3 \tau_3 \}$$

is diagonal. The new form taken by V' is most easily prescribed in terms of "normal" coordinates referred to the  $D_{3d}$  symmetry group. We define

$$\bar{Q}_3 = (D_1 + D_2 + D_3)/\sqrt{3},$$

and its conjugate momentum  $\bar{P}_3$ , which refer to a

parallel displacement  $(\alpha_{1g})$  with respect to the three-fold axis, and

$$\bar{Q}_1 = (-2D_1 + D_2 + D_3)/\sqrt{6},$$
  
 $\bar{Q}_2 = (D_2 - D_3)/\sqrt{2}.$ 

together with their conjugate momenta  $\bar{P}_1, \bar{P}_2$ , which refer to perpendicular  $(\epsilon_g)$  displacements with respect to  $D_{3d}$ . The transformed V' may now be written

$$V' = l_{\tau} \{ -\Delta \bar{\tau}_3 + (1/\sqrt{3}) \bar{Q}_3 \bar{\tau}_3 + (\bar{Q}_1 \bar{\tau}_1 + \bar{Q}_2 \bar{\tau}_2) \},$$

where  $\bar{\tau}_1$ ,  $\bar{\tau}_2$ ,  $\bar{\tau}_3$  are, respectively, the matrices

$$\frac{1}{\sqrt{6}} \begin{pmatrix} 0 & 1 & 1\\ 1 & 0 & -2\\ 1 & -2 & 0 \end{pmatrix}, \ \frac{1}{\sqrt{6}} \begin{pmatrix} 0 & i & -i\\ -i & 0 & -2i\\ i & 2i & 0 \end{pmatrix}, \ \begin{pmatrix} 2 & 0 & 0\\ 0 & -1 & 0\\ 0 & 0 & -1 \end{pmatrix}.$$

Correspondingly, in the new coordinates and momenta,  $H_0^{\tau}$  becomes

$$\frac{1}{2} \left[ (\bar{P}_1^2 + \bar{P}_2^2 + \bar{P}_3^2) + \mu_\tau^2 \omega_\tau^2 (\bar{Q}_1^2 + \bar{Q}_2^2 + \bar{Q}_3^2) \right] / \mu_\tau \\ + l_\tau \Delta - (2/\sqrt{3}) l_\tau \bar{Q}_3.$$

It will be noticed that the state which labels the first row of the matrix  $\overline{V}'$  has a term  $-2l_{\tau}\Delta$  from the latter which, together with  $l_{\tau}\Delta$  from  $H_0^{\tau}$ , amounts to the net stabilization  $l_{\tau}\Delta$ . The remaining states lie some  $3l_{\tau}\Delta$ above this level. Moreover, the term linear in  $\overline{Q}_3$  in the leading element of  $\overline{V}'$  is exactly canceled by the corresponding term in  $H_0^{\tau}$ , showing that the first state is stable with respect to small displacements. The remaining states are unstable by the same token. Since  $l_{\tau}\Delta \gg \hbar\omega_{\tau}$  in this limit, it is easily shown that the lower energy levels of the trigonal site are given by the formula

$$-l_{\tau}\Delta + [n_{\tau}(\bot) + 1]\hbar\omega_{\tau}(\bot) + [n_{\tau}(\Vert) + \frac{1}{2}]\hbar\omega_{\tau}(\Vert), \quad (13)$$

where  $n_{\tau}(\perp)$  is an integer representing the vibrational quantum number for the doubly degenerate  $\epsilon_q(D_{3d})$ mode of angular frequency  $\omega_{\tau}(\perp) = (2/3)^{\frac{1}{2}} \omega_{\tau}$  and  $n_{\tau}(\parallel)$ is the vibrational quantum number for the  $\alpha_{1g}(D_{3d})$ mode of angular frequency  $\omega_{\tau}(||) = \omega_{\tau}$ . (Formally, the vibrational levels are now split by the possibilities of internal tunneling from one to another of the four equivalent sites—in which case we return from  $D_{3d}$ to  $O_h$  by converting the symmetry number 4 into a degree of freedom. However, in our limit  $\Delta$  is large and therefore the neglected terms in V' (at first quadratic in the  $Q_r$ ) are likely to influence the behavior as much as any we should consider at this time. We shall not, therefore, pursue this analysis now although the effect of a low-frequency internal degree of freedom may prove to be of interest in discussing, for example, paramagnetic relaxation phenomena.<sup>13</sup>)

Finally, we consider the case where  $l_{\epsilon}$  and  $l_{\tau}$  are of comparable magnitude, but both are small so that second-order perturbation theory may be used. To this approximation, it is easy to see that the tendencies for distortions via the  $\epsilon_{g}$  and  $\tau_{2g}$  modes do not interfere.

<sup>&</sup>lt;sup>13</sup> J. H. Van Vleck, Phys. Rev. 57, 426 (1940).

As a result, we simply add to (12) the term

$$(n_{\epsilon}+1)\hbar\omega_{\epsilon}-\frac{1}{2}l_{\epsilon}^{2}/\mu_{\epsilon}\omega_{\epsilon}^{2}.$$
 (14)

No additional splitting occurs, and the problem retains its spherical symmetry.

# $G_{g'}$ AND $G_{u'}$ STATES

When spin-orbit interaction is strong and the number of electrons is odd, the electronic eigenfunctions  $\psi_{K^0}$ span two-valued irreducible representations of the point group  $O_h$  or, equivalently, single-valued representations of the double group  $O_h^r$  formed on augmenting  $O_h$  by Bethe's fictional symmetry element, a rotation through  $2\pi$  about an arbitrary molecular axis.<sup>14</sup> The two-dimensional representations  $E_{1g'}$ ,  $E_{1u'}$ ,  $E_{2g'}$ , and  $E_{2u}'$  are unaffected by the Jahn-Teller effect, which is electrostatic not magnetic in origin.<sup>3,15,16</sup> However, states whose symmetries conform to either of the two four-dimensional representations,  $G_g'$  and  $G_{u'}$  are formally unstable with respect to displacements of both  $\epsilon_g$  and  $\tau_{2g}$  symmetries. In the case of either odd or even states, it is easy to show that V' may be written in the form

$$V' = l_{\epsilon}(q_{1}\rho_{1} + q_{2}\rho_{2}) + \rho_{3}l_{\tau}(Q_{1}\sigma_{1} + Q_{2}\sigma_{2} + Q_{3}\sigma_{3}), \quad (15)$$

where the vector matrices  $\rho$  and  $\sigma$  are just Dirac's  $4 \times 4$  matrices.<sup>17</sup> These satisfy the commutation relations

$$[\varrho,\sigma]=0, \quad \varrho \times \varrho = 2i\varrho, \quad \sigma \times \sigma = 2i\sigma.$$

Moreover, since the square of each component of each vector is unity,  $\rho$  and  $\sigma$  may be treated as independent sources of electronic "spin" angular momentum, in units of  $\frac{1}{2}\hbar$ .

As in the case of  $E_g$  and  $E_u$  states, the vibronic problem has a high "accidental" symmetry. In the  $q_1, q_2$ subspace, we again encounter cylindrical symmetry, since the observable

$$\bar{\Lambda} = 2m_3 + \rho_3$$

commutes with the Hamiltonian  $H_0 + V'$ . In the Qsubspace, the problem exhibits spherical symmetry, since the vector

$$J=M+\frac{1}{2}\sigma, J\times J=iJ$$

commutes with the Hamiltonian and its components obey the commutation rules characteristic of angular momentum. Further

$$[\overline{\Lambda},\mathbf{J}]=0,$$

so that we may label energy levels by the good quantum

<sup>16</sup> E. Wigner, Gott. Nachr. 546 (1932).
 <sup>17</sup> P. A. M. Dirac, *Quantum Mechanics* (Oxford University Press, New York, 1947).

numbers J,  $J_3$ , and  $\overline{\Lambda}$ . Since J is half-integral, we see that all levels are at least doubly degenerate, as indeed they must be on other grounds.<sup>15,16</sup> Further, if in their respective subspaces R is a reflection in the  $q_1$  axis and S is a reflection in the  $Q_2$ ,  $Q_3$  plane, it is easy to see that the operator  $O = R\rho_1 S\sigma_1$  commutes with the Hamiltonian and with  $J^2$ . However, since O anticommutes with both  $\overline{\Lambda}$  and  $J_3$ , it is evident that each level is 2(2J+1)-fold degenerate.

The potential sheets naturally exhibit similar features. They are doubly degenerate and may be expressed by the function

$$\frac{\frac{1}{2}k_{\epsilon}r^{2} + \frac{1}{2}k_{\tau}R^{2} \pm (l_{\epsilon}^{2}r^{2} + l_{\tau}^{2}R^{2})^{\frac{1}{2}}}{r^{2} = q_{1}^{2} + q_{2}^{2}}, \quad R^{2} = Q_{1}^{2} + Q_{2}^{2} + Q_{3}^{2}.$$

Their critical points lie on the spherical surface

$$r=0, \quad R=|l_{\tau}|/k_{\tau},$$

and on the circle

$$R=0, r=|l_{\epsilon}|/k_{\epsilon},$$

respectively. The former are stable when  $l_{\tau}^2/k_{\tau} > l_{\epsilon}^2/k_{\epsilon}$ and the latter are stable when the sense of this inequality is reversed.

Once again, the solutions of the vibronic problem are easily obtained when  $l_{\tau}$ ,  $l_{\epsilon}$  are small. The corresponding second-order Hamiltonian is

$$H_{2} = -l_{\epsilon}^{2}(1+m_{3}\rho_{3})/\mu_{\epsilon}\omega_{\epsilon}^{2} -l_{\tau}^{2}(3+2\mathbf{M}\cdot\boldsymbol{\sigma})/2\mu_{\tau}\omega_{\tau}^{2}.$$
(16)

It is evident that  $m_3$ ,  $\rho_3$ ,  $\mathbf{J}^2$ ,  $\mathbf{M}^2$ , and  $J_3$  all commute with  $H_2$ ; together with  $H_0$  they form a complete set of commuting observables. The energies, correct to second order in  $l_{\tau}$  and  $l_{\epsilon}$  are therefore

$$\frac{(n_{\epsilon}+1)\hbar\omega_{\epsilon}-l_{\epsilon}^{2}(1+m_{3}\rho_{3})/\mu_{\epsilon}\omega_{\epsilon}^{2}+(n_{\tau}+\frac{3}{2})\hbar\omega_{\tau}}{-l_{\tau}^{2}[3+4J(J+1)-4M(M+1)]/4\mu_{\tau}\omega_{\tau}^{2}}.$$
 (17)

Here  $m_3$  ranges from  $-n_{\epsilon}, -n_{\epsilon}+2, \cdots$ , to  $n_{\epsilon}; \rho_3$  takes the two values  $\pm 1$ ; *M*, for given  $n_{\tau}$ , may be  $n_{\tau}$ ,  $n_{\tau}-2$ ,  $\cdots$ , to 0 or 1, depending on whether  $n_{\tau}$  is even or odd, respectively; and finally,  $J = M \pm \frac{1}{2}$ , the latter value being excluded when M=0.

In the event that  $l_{\tau}$  is negligibly small, the effective Hamiltonian is just (4), whose solutions are known.<sup>11</sup> Again, when  $l_{\epsilon}$  vanishes although  $l_{\tau}$  may be appreciable, it is not difficult to tabulate the energy eigenvalues, owing to the spherical symmetry in **Q** space. (The analogous problem for T states poses much greater difficulties since this symmetry is absent.) These solutions have been obtained and will be published elsewhere. However, when neither  $l_{\ell}$  nor  $l_{\tau}$  may be regarded as small, the problem is unlikely to be solved by any but rather arduous numerical methods.

<sup>14</sup> H. A. Bethe, Ann. Physik 3, 133 (1929).

<sup>&</sup>lt;sup>15</sup> H. A. Kramers, Proc. Amsterdam Acad. Sci. 33, 959 (1930).